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<b>(54) Title:</b> PROPORTIONAL MICROMECHANICAL DEVICE  <b>(57) Abstract</b> <p>The present invention provides a proportional microvalve having a first, second and third layer, and having high aspect ratio geometries. The first layer defines a cavity with inlet and outlet ports. The second layer, doped to have a low resistivity and bonded between the first and third layers, defines a cavity having a flow area to permit fluid flow between the inlet and outlet ports. The second layer further defines an actuatable displaceable member, and one or more thermal actuators for actuating the displaceable member to a position between and including an open and a closed position to permit or occlude fluid flow. The third layer provides one wall of the cavity and provides electrical contacts for electrically heating the thermally expandable actuators. The thermal actuators and the displaceable member have high aspect ratios and are formed by deep reactive ion etching such that they are displaceable in the plane of the second layer while being very stiff out of the plane. Thus, both actuation and displacement of the displaceable member are in the plane of the layer.</p>		

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## PROPORTIONAL MICROMECHANICAL DEVICE

### BACKGROUND OF THE INVENTION

#### 1. Field of Invention

5           The present invention relates generally to semiconductor electromechanical microdevices and more specifically to microdevices with high aspect ratio geometries and a member displaceable in conjunction with a transducer.

#### 2. Description of the Related Art

10           A fluid valve generally comprises a fluid port, an actuator, and a valve structure which is movable to open or close the fluid port in response to the actuator. There are numerous types of fluid valves. Examples of fluid valves include solenoid valves and microvalves fabricated from micromachined semiconductor materials such as bimetallic microvalves and encapsulated-fluid microvalves. However, numerous problems are  
15 associated with each of these types of valves or microvalves.

          A solenoid valve utilizes a coil in the form of a cylinder and generally has a core which can be pulled into the cylinder by the magnetic field set up when current is passed through the coil. Solenoid valves are typically used in a conventional anti-lock brake system, for example. However, solenoid valves usually are relatively large and heavy. In  
20 addition, electromagnetic valves such as solenoid valves often require relatively high currents and may result in spiking of the voltage supply. Solenoid valves also can exhibit hysteresis and thus nonlinearity of response to electrical input. Furthermore, operation of electromagnetic valves such as solenoid valves can be relatively slow due to a relatively large lag time between the delivery of current to such valve and the resultant magnetic field  
25 and corresponding force. It is also difficult in practice to only partially open or close a solenoid valve and so solenoid valves are typically used only as on/off rather than proportional valves.

          An exemplary bimetallic microvalve utilizes an actuator made of two materials with different coefficients of thermal expansion. The difference in coefficients of thermal  
30 expansion causes the actuator to bend or straighten upon heating or cooling of the actuator to thereby open or close a flow orifice. U.S. Pat. No. 5,058,856 discloses such a bimetallic

microvalve which has a first and a second substrate. A first substrate defines a flow orifice and a valve seat. A second substrate defines a valve face aligned with the flow orifice and also defines movable actuators. The movable actuators include first and second layers of materials with substantially different coefficients of thermal expansion, such as a silicon layer and a nickel layer. The actuators also include heating elements and are fixed at one end such that selective heating of the actuators causes the actuators to flex due to the difference in the coefficients of thermal expansion. Flex of the actuators displaces the valve face away from or towards the valve seat to open or close the valve and thereby control fluid flow through the orifice.

However, one problem associated with such bimetallic microvalves is that, because the actuator actuates in response to changes in temperature, changes in ambient temperature can unintentionally actuate the microvalve. In addition, the heated element, the actuator, is in contact with the fluid flow and thus may undesirably heat the fluid in the flow path, cool the heater and displace the actuator. Further, the displacement of the actuator is also relatively small, generally on the order of 10 ppm/°C.

An example of encapsulated-fluid microvalve is disclosed in U.S. Pat. No. 4,824,073. Encapsulated-fluid microvalves utilize the principle of expansion and pressure rise of a fixed amount of fluid or gas in an enclosed cavity when heated to deflect a flexible thin membrane or diaphragm forming one or more walls of the cavity. When the encapsulated-fluid or gas is heated, the diaphragm is deflected to open or close a port to control fluid flow through a fluid orifice. Heating the encapsulated fluid or gas may be accomplished by a resistive heating element within the cavity such that electrical current may be passed through the resistive element to generate heat to heat the fluid or gas.

Encapsulated-fluid microvalves can generate relatively large forces such that they may be used as mass fluid controllers, for instance, to control high volume of fluid flow. In addition, encapsulated-fluid microvalves may also be operated proportionally to provide a proportional range of fluid control, i.e. the valve may be controlled to modulate the rate of fluid flow through the valve in accordance with the magnitude of a control signal.

However, encapsulated-fluid microvalves have a relatively slow response time due to the time required for heating and cooling of the fluid. Further, the deflecting membrane of an encapsulated-fluid microvalve is in contact with the fluid or gas flow path. Thus, the temperature of the deflecting membrane may affect the temperature of the fluid or gas in the flow path, and vice versa.

Further, none of the valves described above provides flow-force and/or pressure-force compensation to minimize the effect of fluid flow through the microvalve.

Thus, there has been a need for a microvalve which is small, light weight, cost effective, simple to fabricate, and which has a quick response time. There has also been a need for a microvalve which provides precise and proportional flow control wherein response to a control stimulus input is substantially linear, without hysteresis and with flow-force and/or pressure-force compensation to minimize the effect of fluid flow through the microvalve. There also has been a need for a valve in which operation of the valve does not result in significant heating of the fluid or gas that flows through the valve. Furthermore, there has also been a need for a microvalve which functions independently of the ambient temperature. The present invention meets these needs.

### SUMMARY OF THE INVENTION

In one aspect of the invention, a semiconductor micromechanical device generally comprises a first generally planar layer and a second generally planar semiconductor layer. A first and a second member depend from the second layer, and each is suspended within a cavity defined by the second layer. The first layer may also define a portion of the cavity. A displaceable structure is suspended from the first and second suspended members within the cavity. An actuator is operatively coupled to the first suspended member such that the actuator can impart a force that causes displacement of the displaceable member.

In another aspect of the invention, a microstructure of the present invention may be utilized as a microvalve including first, second and third layers is provided, wherein the second layer is secured between the first and third layers. All three layers are preferably made of substantially the same material. The first layer and/or the third layer may define inlet and outlet ports. The second layer defines a flow area enclosed by the first and third layers to permit fluid flow between the inlet and outlet ports, a displaceable member, and one or more actuators for actuating the displaceable member to open and close the microvalve. The displaceable member and the one or more actuators are suspended between the first and third layers. The second layer is preferably highly doped to have a low resistivity. Electrical contacts for the actuators are preferably provided through the third layer. In operation, an electrical current is driven through the actuators via the electrical contacts, causing the actuators to become heated and to thermally expand. The actuators are disposed relative to the displaceable member such that thermal expansion of

the actuators causes the displaceable member to be displaced in the plane of the second layer to a position between an open and closed position relative to one of the inlet and outlet ports. The displaceable member has a high aspect ratio (the ratio of height to width) and thus is compliant in the plane of the layers and very stiff out of the plane.

5           The microdevice of the present invention, therefore, is compact and easy to manufacture. It can respond rapidly to an input stimulus with a linear response substantially without hysteresis. More specifically a small displaceable semiconductor structure is suspended from a semiconductor layer such that it can move with precision in the plane of the layer in response to an input stimulus. The displaceable structure can serve  
10 as a valve which opens and closes fluid ports without heating fluid as it flows through the ports. Because the layers have a matched coefficient of thermal expansion, ambient temperature does not influence movement of the displaceable semiconductor structure.

These and other features and advantages of the invention will be appreciated from the following detailed description in conjunction with the drawings.

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#### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 shows an exploded perspective view of the first, second and third layers of the proportional microvalve of a present embodiment of the invention;

FIG. 2 shows a cross sectional view along line 3-3 of FIG. 1;

20           FIG. 3 shows a top plan view of an actuator having plates or ribs;

FIGS. 4, 5A, 5B and 6A-6D show top plan views of alternative configurations of actuators;

FIG. 7 shows a cross sectional view of a microvalve having a second inlet port with fluid entering from opposite sides of the microvalve to provide pressure balancing;

25           FIG. 8 shows a cross sectional view of another microvalve having a second inlet port with fluid entering from opposite sides of the microvalve to provide pressure balancing;

FIGS. 9 and 10 show partial top plan views illustrating displaceable members including extensions to provide fluid force compensation;

30           FIGS. 11-13 show partial top plan views illustrating the microvalve of the present invention further including one or more baffles and extensions for redirecting fluid flow;

FIG. 14 shows a partial cross-sectional view of a microvalve having an angled outlet port;

FIG. 15 shows a cross-sectional view of a proportional gas microvalve of the present invention;

FIGS. 16a-f illustrate fabrication process flow in accordance with the invention;

FIG. 17 shows a top plan view of a displaceable member for closing and opening two inlet ports for control of fluid flow to outlet port;

FIG. 18 shows a schematic of a portion of an anti-lock brake system illustrating the utilization of valves; and

FIG. 19 shows a top plan view of a microvalve having two displaceable members for independently closing and opening two inlet ports for control of fluid flow to outlet port.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The present invention comprises a semiconductor micromechanical device which includes a semiconductor layer defining a cavity. A displaceable structure is suspended within the cavity by first and second members which also are suspended within the cavity. At least one of the suspended members is operative as an actuator which can displace the displaceable structure within the cavity. The following description is presented to enable any person skilled in the art to make and use the invention. Descriptions of specific applications are provided only as examples. Various modifications to the preferred embodiment will be readily apparent to those skilled in the art, and the general principles defined herein may be applied to other embodiments and applications without departing from the spirit and scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles and features disclosed herein.

Referring to the illustrative drawings of FIGS. 1 and 2, there is shown a presently preferred first embodiment of a microvalve 10 in accordance with the invention. The microvalve 10 of the first embodiment generally comprises three layers or substrates: first layer 12, second layer 14 and third layer 16. The first layer 12 defines inlet port 20 and outlet port 22. The second layer 14 is secured between first layer 12 and third layer 16, and defines a cavity 24 including a flow area to permit fluid flow between inlet port 20 and outlet port 22. The second layer 14 further defines a displaceable member 26 which can be displaced in response to thermal actuators 28, 30 to open and close inlet port 20. In the present embodiment, the displaceable member 26 is elongated. Electrical contacts 32a,

32b, 34a, 34b for electrical thermal heating of actuators 28, 30, respectively, are provided in vias through the third or cap layer 16.

When an input such as current is applied through each of actuators 28, 30 via electrical contacts 32a-b, 34a-b, each of actuators 28, 30 exerts a force in a direction indicated by arrows D28 and D30, respectively. Forces in directions D28 and D30 cause displaceable member 26 to be displaced in a direction indicated by arrow D26 such that at least a portion of displaceable member 26 becomes vertically aligned with inlet port 20. The current, therefore, serves as an input stimulus which actuates the actuators. The at least partial vertical alignment of displaceable member 26 relative to the inlet port 20 at least partially closes the inlet port 20. The amount of the displacement or alignment of displaceable member 26 may be selected to control, for example, the rate of fluid flow. When the input is no longer applied through actuators 28, 30, actuators 28, 30 exert forces in directions opposite to those indicated by arrows D28 and D30, respectively, to return displaceable member 26 to its normally open position relative to the inlet port 20 by displacing displaceable member 26 in a direction opposite to that indicated by arrow D26.

Alternatively, microvalve 10 may be configured such that displaceable member 26 is in a normally closed position relative to the inlet port 20 and is displaceable to open inlet port 20. In another alternative embodiment, microvalve 10 may be configured such that displaceable member 26 is in a normally open or closed position relative to the outlet port 22 and is displaceable to close or open the outlet port 22.

Preferably, each of first, second and third layers 12, 14, 16 is made of silicon or other semiconductor materials. Alternatively, first and/or third layers 12, 16 may be made of glass (Pyrex), conductive ceramic, steel, aluminum, and/or any other metallic or conductive materials. The second layer 14 is preferably a single-crystal semiconductor (SCS) as it is strong, flexible and more resistant to performance degradation.

Although the microvalve is generally described herein as opening and closing inlet port 20, such description is solely for illustrative purposes only and, clearly, microvalve 10 can be easily adapted to open or close outlet port 22. Further, although microvalve 10 is described herein as a normally open (N.O.) valve, it can be easily adapted to be a normally closed (N.C.) valve. In addition, for purposes of clarity and brevity of the description herein, only actuator 28 and corresponding electrical contacts 32a, 32b will generally be described, although the description is correspondingly applicable to actuator 30 and electrical contacts 34a, 34b.



First and third layers 12, 16 preferably define shallow recesses 18, although only recess 18 in first layer 12 is shown in FIG. 1. Recesses 18 are defined in regions aligned with displaceable member 26 and actuators 28, 30 of second layer 14 to provide clearance for suspension of displaceable member 26 and actuators 28, 30 between first and third layers 12, 16 and for displacement thereof within cavity 24 in the plane of the second layer 14. Recesses 18 may also be defined in regions aligned with cavity 24 to further facilitate fluid flow through cavity 24. Alternatively or additionally, displaceable member 26 and actuators 28, 30 of second layer 14 may be indented, or thinned, (not shown) from first and third layers 12, 16 to provide clearance therebetween. In addition, recesses 18 and/or the indents of displaceable member 26 and actuators 28, 30 may be of a uniform depth or varying depths. For example, in one embodiment, recess 18 and/or indents may provide a clearance of approximately 0.5  $\mu\text{m}$  in the region near inlet 20 between displaceable member 26 and each of first and third layers 12, 16 in order to minimize fluid leakage by reducing the distance between displaceable member 26 and inlet port 20 when displaceable member 26 is aligned over inlet port 20 to close off fluid flow. In addition, recess 18 and/or indents may provide a clearance of approximately 1  $\mu\text{m}$  or less in other regions such as those between actuators 28, 30 and each of first and third layers 12, 16 in order to minimize fluid or gas pressure differential.

Where microvalve 10 is utilized as a fluid valve, recesses 18 of the first embodiment preferably has a depth of approximately 0.5  $\mu\text{m}$ . Thus, a gap is formed between a surface of recess 18 and stopper end portion 42 when displaceable member 26 is in a closed position. Fluid leakage through microvalve 10, even with such a gap, is minimal. These spaces include those in cavity 24 and between ribs 48, 50. After these spaces are filled by the fluid, additional fluid leakage is further limited by the size of the gap in combination with fluid tension. This small leakage has already been demonstrated by a larger 5  $\mu\text{m}$  gap in a conventional solenoid fluid valve currently utilized for anti-lock brake systems. Thus, provision of recesses 18 approximately 0.5  $\mu\text{m}$  in depth for a fluidic microvalve is preferred in certain applications.

The second layer 14 is preferably doped, more preferably highly doped, for example, a highly doped P-type single-crystal semiconductor (SCS). By doping, second layer 14 has a low resistivity. Low resistivity facilitate increased current flow through thermal actuators 28, 30. Current may be applied through actuators 28, 30 via contacts

32a, b and 34a, b, respectively. By increasing the current applied to contacts 32a, b and 34a, b, thermal expansion of actuators 28, 30 increases resulting in an increase of the forces exerted by actuators 28, 30 on the displaceable member 26.

For example, the resistivity  $\rho$  of second layer 14 is preferably approximately 0.001-  
5 0.01  $\Omega\text{cm}$  such that a current flow from one side to the other side of ribs 48 results in a desirable amount of heating of and heat dissipation by the ribs. Where ribs 48 are silicon, ribs 48 can withstand temperatures of up to 1000°C and preferably up to approximately 500°C. Preferably, silicon ribs 48 are heated between 10°C to 500°C, and more preferably between 100°C to 200°C. As is evident, actuation of microvalve 10 is dependent upon the  
10 heating of ribs 48 relative to the temperature of the remainder of the microvalve 10 and is independent of the ambient temperature.

Electrical contacts 32a-b are provided in third layer 16 and are vertically aligned with thermal actuator 28. Electrical contacts 32a-b provide electrical contact, through vias 35, for the application of current to actuators 28. The ribs 48 serve as conductive paths  
15 through the highly doped second layer 14 between contacts 32a and 32b. Contacts 32a-b are preferably in electrical contact with regions of second layer 14 that are isolated except for current conduction paths formed by ribs 48. Such electrical isolation may be established by providing trenches 36 in the second layer 14 to prevent a short circuit between electrical contacts 32a and 32b. Trenches 36 may be filled with a dielectric  
20 material to planarize trenches 36. Alternatively, electrical isolation may be established by oxidizing the ribs 48 to prevent shorting through the fluid between electrical contacts 32a and 32b.

Additional electrical isolation between ribs 48 and ribs 50 is unnecessary because ribs 48, 50 provide the path of least resistance between contacts 32a, 32b and between  
25 contacts 34a, 34b, respectively, if each set of ribs 48, 50 is independently driven. Further, electrical isolation between first and second layers 12, 14 and between second and third layers 14, 16 is also achieved because the first and third layers 12, 16, unlike second layer 14, have a low doping level and are only minimally electrically conductive, such that the applied current remains in second layer 14. Where the layers 12, 14, 16 comprise silicon,  
30 the surface of the layers 12, 14, 16 may also be oxidized to provide further electrical isolation.

It will be noted that the electrical contacts 32a, 32b are vertically aligned with the ribs 48 of actuator 28 such that one of the contacts (e.g., 32a) is vertically aligned with the

ribs on one side of the isolation trench 36 and the other of the contacts (e.g., 32b) is vertically aligned with the ribs on the other side of the trench 36. The contacts 34a, 34b are similarly vertically aligned with the ribs 50 of the actuator 30. It will be appreciated that such vertical alignment provides a more compact microvalve.

5           The displaceable member 26 has a first actuator end portion 40 in contact with thermal actuators 28, 30 and a second stopper end portion 42 disposed and shaped for opening and closing inlet port 20. The displaceable member 26 increases in cross-sectional area from the first actuator end portion 40 to stopper end portion 42. The larger cross-sectional area at the second stopper end portion 42 maximizes the ability of the  
10       displaceable member 26 to withstand differential fluid pressures.

          In a present embodiment, each of actuators 28, 30 comprises a shaft 44, 46 with ribs 48, 50 extending therefrom, respectively, wherein shafts 44, 46 are generally perpendicular to the displaceable member 26. Thus, applying a current through ribs 48 causes them to thermally expand which in turn causes shaft 44 to exert a force on the displaceable member  
15       26 in a direction as indicated by arrow D28. Thus, it will be appreciated that in the present embodiment the shaft 44, 46 and ribs 48, 50 constitute a unitary structure that serves both to suspend the displaceable member 26 within the cavity 24 and to impart displacement force to the displaceable member 26.

          In addition, although thermal actuators 28, 30 are disposed in cavity 24 to be filled  
20       with fluid, thermal actuators 28, 30 are preferably disposed outside of the area of fluid flow between inlet and outlet ports 20, 22. The fluid in the area outside of the fluid flow area would generally be stagnant and, essentially, a vacuum would be needed to remove this dead volume of fluid. Thus, where the fluid is a thermal insulator the dead volume of fluid may also act as a thermal insulator between thermal actuators 28, 30 and the fluid flow to  
25       prevent the fluid flow from being heated thereby.

          Actuators 28, 30 and displaceable member 26 are suspended within the cavity 24 between first and third layers 12, 16. Specifically, ribs 48 are anchored or fixed at one end to second layer 14 such that ribs 48 are suspended by second layer 14 between first and third layers 12, 16. Shaft 44 and displaceable member 26 are in turn similarly suspended,  
30       the shaft 44 being supported by ribs 48 and the displaceable member 26 being supported by shafts 44, 46. Furthermore, thermal actuators 28, 30 have high aspect ratios (the ratio of height to width) formed by deep reaction ion etching (DRIE). Thermal actuators 28, 30 preferably have aspect ratios in the range of 1:1 to 50:1, and more preferably approximately

20:1. The displaceable member 26 preferably has an aspect ratio in the range of 0.5:1 to 50:1, and more preferably approximately 1:1. Thus, each of suspended ribs 48, shaft 44 and displaceable member 26 is displaceable in the plane of second layer 14 while limited in the direction of displacement by the respective support structure.

5           Actuator 28 is shown in more detail in FIG. 3. Because ribs 48 are anchored at one end to second layer 14, ribs 48 cannot thermally expand toward the anchored end. Rather, ribs 48 can thermally expand toward shaft 44. Each end of rib 48 is preferably tapered to achieve a smaller cross-section at the junctions between rib 48 and second layer 14 and/or between rib 48 and shaft 44. The tapered junctions allow a hinging action and thus allow  
10           displacement of shaft 44. In addition, ribs 48 are at an angle  $\theta$  relative to the perpendicular of shaft 44 such that upon thermal expansion of ribs 48, ribs 48 displace shaft 44 toward first actuator end portion 40 of displaceable member 26. Rib angle  $\theta$ , for purposes of amplifying the displacement of shaft 44, is preferably relatively small, for example, between 2 and 5°. A small angle  $\theta$  maximizes the displacement of shaft 44 toward actuator  
15           end portion 40 of displaceable member 26 for a given amount of thermal expansion of each rib 48.

          To increase the force shaft 44 exerts on actuator end portion 40 of displaceable member 26, additional pairs of ribs 48 may be provided. For example, actuator 28 may have five pairs of ribs 48 as shown in FIGS. 1 and 2. Clearly, the number of rib pairs may  
20           be easily varied to achieve the desired force exerted on stopper end portion 42 of displaceable member 26. Preferably, ribs 48 are provided in pairs, one opposite the other relative to shaft 44, such that forces perpendicular to shaft 44 exerted by ribs 48 are canceled out by opposing ribs 48. Thus, the net force exerted by ribs 48 is parallel to shaft 44 and shaft 44 in turn exerts the forces on actuator end portion 40 of displaceable member  
25           26.

          In a presently preferred embodiment, each rib 48 is approximately 200-2000  $\mu\text{m}$  in length, 50-200  $\mu\text{m}$  in width and 400  $\mu\text{m}$  in height and thus with an aspect ratio of approximately 2:1 to 8:1. Shaft 44 is preferably 1 to 2 mm in length and with an aspect ratio of approximately 5:1 to 10:1. In addition, displaceable member 26 is preferably  
30           approximately 6 mm in length, 250-1000  $\mu\text{m}$  in width and 400  $\mu\text{m}$  in height. Thus, providing 5 pairs of silicon ribs for each of actuators 28, 30 and applying a total current of 20 amps through ribs 48, 50 result in approximately 15-20 N of force exerted by shafts 44,

46 onto actuator end portion 40 of displaceable member 26. This force translates to approximately 0.5 N of force and 150-200  $\mu\text{m}$  of displacement at stopper end portion 42 of displaceable member 26. A displacement of approximately 400  $\mu\text{m}$  at stopper end portion 42 of displaceable member 26 can be easily achieved with microvalve 10 of similar dimensions. Such a microvalve can have a switching time of less than 10 ms, can withstand up to approximately 5 kpsi of fluid pressure with pressure balancing of displaceable member 26 as will be discussed and can accommodate over 0.5 liters per minute of fluid flow.

Shafts 44, 46 of thermal actuators 28, 30 are disposed relative to displaceable member 26 to exert displacement torque force on actuator end portion 40 upon displacement of shafts 44, 46 toward actuator end portion 40. In the present embodiment, the displaced force essentially is a torque force about a locus of member 26 between the first and second shafts 44, 46 as illustrated by arrow D26. As shown in FIG. 1, actuators 28, 30 are preferably disposed on opposing sides of displaceable member 26 and offset relative to each other along the axial length of displaceable member 26. The offset distance may be selected according to the desired torque force at and displacement of stopper end portion 42 of displaceable member 26. As there must be a conservation of energy, the displacement of shaft 44 is generally inversely proportional to the force exerted by shaft 44. In other words, a larger offset distance would result in a greater displacement force at and a smaller displacement of stopper end portion 42. On the other hand, a smaller offset distance would result in a smaller torque force at and a greater displacement of stopper end portion 42. Thus, the distance by which thermal actuators 28, 30 are offset from each other along the axial length of displaceable member 26 may be selected to achieve the desired balance between torque and displacement.

To actuate thermal actuator 28, a current is applied between electrical contacts 32a, 32b to heat ribs 48, causing ribs 48 to thermally expand. Thermal expansion of ribs 48 operates to displace shaft 44 toward the first actuator end portion 40 of displaceable member 26. Similarly, a current is simultaneously applied between electrical contacts 34a, 34b to heat ribs 50, causing ribs 50 to thermally expand. Thermal expansion of ribs 50 also displaces shaft 46 toward actuator end portion 40 of displaceable member 26. Because shafts 44, 46 are offset from each other along the axial length of displaceable member 26, displaceable member 26 is displaced in the plane of second layer 14 at a locus of

displaceable member 26 approximately midway between shafts 44, 46. Displacement of displaceable member 26 causes the second stopper end portion 42 to be displaced relative to inlet port 20 to open or close inlet port 20.

5 Because the relationship between the amount of current applied and the extent of displacement second stopper end portion 42 of displaceable member 26 relative to inlet port 20 is generally without hysteresis, the amount of the applied current can be controlled to control the level of fluid flow through microvalve 10. Controlling the applied current controls the extent of thermal expansion of ribs 48, 50, the displacement of shafts 44, 46, the rotation of displaceable member 26, and thus the displacement of the second stopper  
10 end portion 42 relative to inlet port 20. Thus, the proportional extent to which stopper end portion 42 of displaceable member 26 opens and closes flow through inlet port 20 and the resultant fluid flow through microvalve 10 can be precisely controlled by controlling the amount of the applied current. Alternatively, the current applied to the microvalve can be pulsed to open and close the port. With pulse-width modulated input signals timed for the  
15 same average power delivery and thus the same heating, the desired overall fluid flow rate can thereby be achieved.

The shape of inlet port 20 may be similar to the shape of stopper end portion 42 of displaceable member 26, as shown in FIG. 1. Such a shape maximizes the area of inlet port 20 exposed by a given displacement of stopper end portion 42 of displaceable member 26,  
20 i.e. minimizes the displacement of stopper end portion 42 of displaceable member 26 to expose a given area of inlet port 20. Outlet port 22 may be of any suitable shape and is preferably of a maximal size given the configuration of the microvalve 10 so as to minimize the affect of outlet port 22 on the fluid flow between inlet and outlet ports 20, 22. Of course, any other suitable shape and sizes of inlet port 20 and outlet port 22 may be  
25 utilized and inlet port 20 and outlet port 22 may be of different shapes.

After current is no longer applied to electrical contacts 32a, 32b, actuator is allowed to passively cool and return displaceable member 26 to its open position. Alternatively, where two or more actuators are used, one actuator may be used to open and the other actuator may be used to close the microvalve 10. It is desirable to provide a heat sink (not  
30 shown), comprising any thermally conductive metal or ceramic, such as aluminum, for example, attached to the bottom surface of the first layer 12.

Alternative configurations of thermal actuators and displaceable members may be easily adapted and employed in the microvalve of the present invention. Thermal actuators

and displaceable members should be designed to translate the force exerted by the thermal actuators to a displacement of displaceable member 26. For example, FIG. 4 shows a top plan view of alternative actuators 102, 104 and displaceable member 26. Each of actuators 102, 104 comprises two or more bars 106 connected to shafts 108, 110. Shafts 108, 110, similar to shafts 44, 46 of actuators 28, 30, are disposed on opposing sides of displaceable member 26 and offset from each other in order to exert a torque force on displaceable member 26. Clearly, displacement of each of shafts 108, 110 would be equal to the thermal expansion of bars 106 as there is no displacement amplification. Additional bars 106 may be provided to increase the force exerted by shafts 108, 110 upon displaceable member 26.

In another configuration as shown in FIG. 5A, two displaceable members 112, 114 are disposed at an angle relative to each of actuators 26, 28 for displacing stopper 116 in directions as indicated by arrow 118. The angle of displaceable members 112, 114 relative to shafts 44, 46, respectively, can be selected to amplify displacement of stopper 116. Increasing the displacement of stopper 116 would, however, decrease the force at stopper 116, as the relationship between displacement and force would of course also apply here.

Alternatively, as shown in the partial top plan view of FIG. 5B, actuators 118, 120 may be disposed on the same side of displaceable member 26. In this configuration, displaceable member 26 may be displaced to open inlet port 20 by actuating only actuator 118. Employing only one actuator results in one half of the force and one half of the displacement of displaceable member 26. However, this configuration has the advantage that displaceable member 26 may be displaced to close inlet port 20 by actuating actuator 120, before actuator 118 has passively cooled and returned to its initial position. This is in contrast to configurations having actuators on opposite sides of the displaceable member which rely upon the passive cooling of the actuators to displace the displaceable member back to the closed position.

FIGS. 6A-6D show examples of other variations of actuator(s). As shown in FIG. 6A, the displaceable member 26A may be suspended by elements 29A, 31A. Either or both of the elements 29A and 31A may serve as expansible actuator. For example, actuator element 29A expands toward the displaceable member 26A upon actuation, the actuator element 29A displaces the member 26A about the anchor element 31A. Where both elements 29A, 31A serve as expansible actuators, actuation of both elements 29A, 31A displaces the member 26A about a pivot point along the displaceable member approximately midpoint relative to the elements 29A, 31A, depending upon the relative

amount of expansions of the actuator elements. Of course, even when both elements **29A**, **31A** serve as actuators, either element may be actuated without the actuation of the other. Preferably, elements **29A**, **31A** define tapers **33A**, **37A**, respectively to facilitate displacement of the displaceable member **26A**.

5           Alternatively, as shown in FIG. 6B, the displaceable member **26B** may be suspended by element **29B** and by the distal end portion **31B** of the displaceable member. Preferably, only element **29B** serves as an expansible actuator such that upon action, it expands toward the displaceable member **26B**, displacing the member **26A** about the distal end portion **31B**. However, although not preferred, distal end portion **31B** may also serve  
10 as an expansible actuator. Of course, even when both element **29B** and distal end portion **31B** serve as actuators, either element may be actuated without the actuation of the other. Preferably, element **29B** defines a taper **33B** to facilitate displacement of the displaceable member **26A** relative to element **29B**. Further, displaceable member **26B** preferably also defines a taper **37B** at the distal end portion **31B** such that the cross-sectional area of  
15 displaceable member **26B** generally decreases toward the distal end portion **31B**.

Referring now to FIG. 6C, the displaceable member **26C** may be suspended by a single actuator **29C**, comprising extension arms **39** and **41**. Extension arms **39** and **41** have different cross-sectional areas such that, for example, the cross-sectional area of extension arm **39** is less than that of extension arm **41**. Because of the difference in the cross-  
20 sectional areas, the extension arm **39** has a higher resistance and thus greater thermal expansion upon actuation than those of extension arm **41**. Thus, upon actuation of actuator **29C**, the displaceable member **26C** is displaced further by extension arm **39** than by extension arm **41** such that the member **26C** is linearly displaced in direction **D43** and rotated about a pivot at approximately the intersection of the expanded extension arm **41**  
25 and the displaceable member **26C**. Although not shown, either or both of extension arms **39**, **41** may provide a taper to facilitate displacement of the displaceable member **26C**. In this variation, extension arms **39**, **41** as well as displaceable member **26C** are doped to allow the application and flow of current therethrough.

As shown in FIG. 6D, the displaceable member **26D** may be suspended by two  
30 actuators **29D** and **31D**, disposed on either side of member **26D**. Actuator **29D** comprises extension arms **39'** and **41'** having different cross-sectional areas such that the cross-sectional area of extension arm **39'** is less than that of extension arm **41'**. Similarly, actuator **31D** comprises extension arms **39''** and **41''** having different cross-sectional areas



such that the cross-sectional area of extension arm 39'' is less than that of extension arm 41''. Extension arms 39 and 39' and/or extension arms 41 and 41' may or may not have the same cross-sectional area. As described above, because of the difference in the cross-sectional areas, extension arms 39', 39'' has higher resistances and thus greater thermal expansions upon actuation than those of extension arms 41', 41'', respectively.

Further, actuators 29D and 31D are preferably disposed such that extension arm 41' is closer to extension arm 41'' than to extension arm 39'' and extension arm 41'' is closer to extension arm 41' than to extension arm 39'. Thus, upon actuation of actuators 29D and 31D, the displaceable member 26D is displaced further by extension arms 39', 39'' than by extension arms 41', 41'', respectively, such that the member 26D is rotated about a pivot at approximately the midpoint between actuators 29D and 31D. Although not shown, any or all of extension arms 39', 39'', 41', 41'' may provide a taper to facilitate displacement of the displaceable member 26C. Similar to the variation shown in FIG. 6C, extension arms 39', 39'', 41', 41'' as well as displaceable member 26D are doped to allow the application and flow of current therethrough.

In yet another alternative embodiment, one or more sensors (not shown) may be integrally secured to the displaceable member. The sensor can be a device such as a piezoresistor, which changes its electrical characteristics upon the occurrence of changes in stress within the displaceable member, as when it bends during displacement, for example. The piezoresistor may be placed on a side surface of a rib. The change in the piezoresistor's electrical characteristics may be utilized to sense the displacement or movement of the displaceable member.

As is evident, numerous other configurations of thermal or other types of actuators such as piezoelectric, electrostatic or pneumatic actuators, whichever is best suited for integration and displaceable members may be easily adapted and employed in microvalve 10 of the present invention to achieve a displacement of second stopper end portion 42. For example, one of the two actuators of the microvalve of FIG. 1 may be replaced by a single beam for anchoring and/or pivoting the displaceable member. The displaceable member thus may be rotated about a center of rotation or a pivot located along the displaceable member approximately halfway between the fixed beam and the shaft of the actuator. Thus, the displaceable member would be displaceable between an open and a closed position by thermal actuation of the only actuator.

Referring now to FIG. 7, there is shown a cross-sectional view of an alternative embodiment in which the third layer 16 of microvalve 10' defines a second inlet port 52 disposed opposite inlet port 20 for fluid pressure balancing. Inlet port 20 and second inlet port 52 thus introduce fluid into cavity 24 such that the fluid impinges on opposite faces of stopper end portion 42 when displaceable member 26 is in the closed position or between the open and closed positions. This at least partially compensates or balances the fluid pressure exerted on the displaceable member resulting from fluid entering cavity 24. The fluid pressure exerted on stopper end portion 42 occurs when microvalve 10' is in the dosed position or between the open and closed positions such that stopper end portion 42 is partially disposed in a region vertically aligned with inlet port 20. As fluid flows through inlet port 20, fluid impinges upon and exerts pressure on a surface of stopper end portion 42 adjacent first layer 12 and enters into cavity 24. Although displaceable member 26 of a presently preferred embodiment can withstand approximately 300-500 psi of fluid pressure when displaceable member 26 is made of silicon, it is thus desirable to compensate for the fluid pressure exerted on stopper end portion 42 of displaceable member 26. However, complete compensation is unnecessary as the inherent strength of the material, such as silicon, can easily withstand a relatively small imbalance of pressure. Thus, by providing the opposing second inlet port 52, microvalve 10 can withstand fluid pressures up to thousands of psi.

FIG. 8 illustrates a cross-sectional view of another alternative embodiment which includes an inlet channel 56 and a second inlet port 52' to compensate or balance the vertical fluid impingement forces on the displaceable member 26. Inlet channel 56 extends through first, second and third layers 12, 14, 16 and directs the flow into cavity 24 through second inlet port 52. Thus, fluid may be introduced through first layer 12 of microvalve 10'' and directed to be introduced into cavity 24 from opposite directions. Fourth layer 54 is provided to cap inlet passageway 56 over third layer 16 and is disposed such that third layer is between second and fourth layers 14, 54.

Referring now to the partial top plan views of FIGS. 9-13, in addition to the fluid pressure due to fluid impingement forces, stopper end portion 42 of the displaceable member 26 is also subjected to a localized fluid force on inlet fluid flow face 58 as well as flow perturbations. The localized force on face 58 is caused by a bend in the flow path as fluid enters cavity 24 through inlet port 20 and/or second inlet port 52. This force urges displaceable member 26 to be displaced in the direction determined by the orientation of

the fluid force. Thus, it is also desirable to compensate for the localized force decrease as well as the flow perturbations in the same plane as the displaceable member motion.

FIGS. 9-13 illustrate various methods and configurations to achieve the compensation of fluid forces. Each of the embodiments shown in FIG. 9-13 includes a fluid force coupling surface that is impinged by fluid flowing from the inlet port 20 to the outlet port 22 to subject the displaceable member 26 to a coupling force that is exerted by the fluid. The coupling force is caused either by the impingement of the fluid flow on a surface different from the face 58 (embodiments shown in FIGS. 9 and 10) or by redirecting or at least perturbing the fluid flow back toward the face 58 (embodiments shown in FIGS. 11 - 13).

As shown in FIG. 9, displaceable member 26a may further comprise a generally U-shaped extension 60 to form a P-shaped displaceable member 26a wherein the U-shaped extension 60 at least partially encircles or encloses outlet port 22. Fluid flow would exert a force on U-shaped extension 60 to at least partially compensate and balance the localized force at face 58 of stopper end portion 42. U-shaped extension 60 additionally encloses fluid flow between stopper end portion 42 of displaceable member and extension 60 and thus may also reduce the fluid leakage of the microvalve. The end use further allows the pressure exterior to the enclosure to be relatively constant resulting in little or no net pressure from areas exterior to the enclosure. Other suitable shapes of extension 60 may be utilized, such as L-shaped to form an h-shaped displaceable member (not shown).

Alternatively, as shown in FIG. 10, displaceable member 26b may comprise extension 62 disposed between inlet 20 and outlet 22 rather than encircle outlet 22. Extension 62 redirects fluid flow such that fluid flow exerts a force on extension 62 to at least partially compensate and balance the localized decrease force at face 58 of stopper end portion 42.

As shown in FIGS. 11-13, one or more members fixed to first layer 12 and/or third layer 16 may alternatively or additionally be provided in cavity 24 to redirect fluid flow to compensate or balance the localized force on face 58 of stopper end portion 42. As shown in FIG. 11, member 64 may be provided within cavity 24 and displaceable member 26a may comprise extension 60 to enclose the fluid flow therein. The combination of extension 60 and member 64 may result in force compensation without redirecting the fluid flow at displaceable member 26a. Alternatively, baffle 66 may comprise a curved surface, as shown in FIG. 12, to redirect flow toward face 58, thereby compensating for the force.

FIG. 13 shows a microvalve having curves baffle 68 and baffles 70 which also redirect flow around baffles 70 to compensate and balance the fluid forces.

FIG. 14 is an illustrative drawing of yet another alternative embodiment of the invention in which an angled outlet 72 and an angled inlet 20a serve as fluid force flow compensation members. Although angled outlet 72 and angled inlet 20a are shown in FIG. 14 as being partly defined by another layer 73, they may be defined only by first layer 12. Fluid flows into cavity 24 through angled inlet 20a in a direction indicated by arrow 74 at inlet angle  $\alpha$  and fluid exits from cavity 24 in a direction indicated by arrow 76 at exit angle  $\phi$ . Inlet angle  $\alpha$  is controlled by displacement of stopper end portion 42 of displaceable member 26 while exit angle  $\phi$  is generally a constant. Fluid inlet and exit angles  $\alpha$ ,  $\phi$ , are selected to balance flow forces. Thus, fluid exit flow at exit angle  $\phi$  exerts forces which balance against the forces exerted by fluid inlet flow at inlet angle  $\alpha$ .

Referring now to FIG. 15, there is shown a cross-sectional view of yet another alternative embodiment of a microvalve 78 in accordance with the invention. Microvalve 78 may be utilized as a gas valve. For gas microvalve 78, the gap between recess 18 and stopper end portion 42 when displaceable member 26 is in a closed position is preferably less than the 0.5  $\mu\text{m}$  gap for the fluidic microvalve 10. Minimizing the gap prevents or minimizes gas leakage as leakage is not reduced by fluid tension as is the case for a fluid valve. The size of gap may be reduced by reducing the depth of recesses 18 in first layer 12 and/or third layer 16.

Additionally or alternatively, the size of gap may be further reduced by providing flanges 80 at an inlet face of stopper end portion 42 of displaceable member 26. Flanges 80 enhance the seal between inlet face of stopper end portion 42 and inlet 20b when displaceable member 26 is in a closed position. Preferably, an inlet channel 79 is provided through first and second layers 12, 14 to direct gas flow through inlet port 20b into cavity 24 such that gas enters cavity 24 in a direction parallel to the plane of the second layer 14. The inlet port 20b is preferably defined along a sidewall of the cavity 24 that is generally perpendicular to the plane of the second layer 14. Thus, displacement of displaceable member 26 in the plane of second layer 14 to close inlet 20b also causes flanges 80 to form a better seal against inlet 20.

Fabrication of a microvalve of a present embodiment of the invention involves fusion bonding, such as silicon fusion bonding, and deep reactive ion etching (DRIE).

Fusion bonding allows the bonding of one silicon layer to another to form one single mechanical structure. The fusion bond has been demonstrated to be at the molecular level and provides very high mechanical robustness. Fusion bonding techniques are well known. See, for example, K.E. Petersen, D. Gee, F. Pourahmadi, R. Craddock, J. Brown and L. Christel, "Surface Micromachined Structures Fabricated with Silicon Fusion Bonding," Proceedings, Transducers 91, June 1992, at pp. 397-399, which is expressly incorporated herein by reference.

The process for fabricating a silicon microstructure in accordance with a presently preferred embodiment of the invention is explained with reference to FIGS. 16a-f. The current embodiment employs three silicon wafers. Using three silicon wafers, the process results in the formation of a prescribed single-crystal silicon structure (SCS) microstructure as an integral portion of the second wafer, corresponding to second layer 14. First and third wafers, corresponding to the first and second layers 12, 16, serve as carriers for the second wafer. Alternatively, the carriers can be formed of glass (Pyrex), for example. It will be understood, of course, that although the following discussion only refers to three wafers, the principles can be applied to the formation of a microstructure comprising a stack of two or more wafers.

In FIG. 16a, the first wafer is patterned with a photoresist to define recessed region(s) to be formed therein and the recessed region(s) are formed using standard semiconductor techniques such as, for example, plasma etching, wet-etching with KOH or other silicon etchants, or differential oxide growth. The recessed region(s) can have any arbitrary geometry and can have any required depth, from less than 0.1  $\mu\text{m}$  to more than 100  $\mu\text{m}$ , for example. In the current embodiment, the recessed regions has a depth of approximately 1  $\mu\text{m}$ .

It should be appreciated that the recessed region need not have a single, uniform depth. For example, several standard silicon etch steps may be employed to produce several different depths that can be used for different mechanical functions. It should also be appreciated that, alternatively or additionally, second layer may be indented (not shown) from first and third layers 12, 16 to provide clearance therebetween, as described above. Moreover, each of the first and third wafer surfaces can be either bare silicon or it can be coated with an oxide layer. Also, the base of the recessed region can be either bare silicon,

oxidized silicon, doped silicon, or it can be coated with any other thin film capable of withstanding subsequent wafer bonding and processing temperatures.

As shown in FIG. 16b, inlet port is then etched through the first wafer. Although not shown, the outlet port may be simultaneously etched through the first wafer.

5 Alternatively or additionally, the outlet port may be etched through the third wafer.

In FIG. 16c, the patterned surface of the first wafer is bonded to a second wafer, preferably doped, by silicon fusion bonding (or direct bonding) process. Fusion bonding techniques are well known. For example, refer to, K.E. Petersen, D. Gee, F. Pourahmadi, R. Craddock, J. Brown, and L. Christel, "Surface Micromachined Structures Fabricated with Silicon Fusion Bonding," Proceedings, Transducers 91, June 1991, at pp. 397-399  
10 which is expressly incorporated herein by reference. In a currently preferred fusion bonding technique, the first and second wafers are made hydrophilic. That is, they are treated with an agent such as hot nitric acid or a hot sulfuric acid and hydrogen peroxide solution or another strong oxidant, that causes water to adhere to them. After drying, the  
15 two wafers then are placed in an oxidizing atmosphere at a temperature of 400°C – 1200°C for approximately one hour.

The silicon fusion bonding technique described above bonds the first and second wafers together without the use of an intermediate glue material that could have a different coefficient of thermal expansion than the single-crystal silicon wafers. Furthermore, fusion  
20 bonding can be performed in which oxide or nitride layers have been formed in the bonded surfaces of one or both of the wafers.

As an alternative to fusion bonding, for example, the first and second wafers can be adhered together with an adhesive such as a photoresist. As another alternative, the first and second wafers can have their major surfaces coated with a metal layer, such as gold,  
25 used to alloy the wafers to one another. In the event that a glass carrier is used instead of the first silicon wafers, the second wafer can be anodically bonded to such glass carrier.

If necessary, the second wafer may be thinned and polished to the thickness required by the particular application. Alternatively, electrochemical etching (ECE) can be used to thin the wafer. Diffused heaters may be incorporated into a plane surface of second  
30 layer 14 by diffusion. In addition, any necessary circuits or other thin film depositions and patterning can be performed using standard silicon processing techniques.

The second wafer is then patterned for a Deep Reactive Ion Etching (DRIE) step which defines the regions of the wafer to be etched. DRIE techniques have become

increasingly well known. For example, refer to: A.A. Ayon, C.C. Lin, R.A. Braff, and M.A. Schmidt, "Etching Characteristics and Profile Control in a Time-Multiplexed ICP Etcher", Proceedings of Solid State Sensor and Actuator Workshop, Hilton Head Island, SC, June 1998, pp. 41-44; V.A. Yunkin, D. Fischer, and E. Voges, "Highly Anisotropic Selective Reactive Ion Etching of Deep Trenches in Silicon," Microelectronic Engineering, Vol. 23, 1994, at 373-376; C. Linder, T. Tschan, N.F. de Rooij, "Deep Dry Etching Techniques as a New IC Compatible Tool for Silicon Micromachining," Proceedings, Transducers '91, June 1991, at 524-527; C.D. Fung and J.R. Linkowski, "Deep Etching of Silicon Using Plasma," Proceedings of the Workshop on Micromachining and Micropackaging of Transducers, Nov. 7-8, 1984, at 159-164; and J.W. Bartha, J. Greeschner, M. Puech, and P. Maquin, "Low Temperature Etching of Si in High Density Plasma Using SF<sub>6</sub>/O<sub>2</sub>," Microelectronic Engineering, Vol. 27, 1995, at 453-456. Reactive Ion etch equipment now allows the etching of holes or trenches which are very deep (>100 microns), while maintaining high aspect ratios (the ratio between the depth of the etched region and the width of the etched region). It has been found that this equipment is capable of at least 30:1 aspect ratios for trenches as deep as 300 microns.

DRIE, in essence, involves a synergistic action between chemical etch and ion bombardment. Impinging energized ions chemically react with the silicon surface. The DRIE process advantageously etches in the vertical direction at a much higher rate than in the lateral direction (i.e., anisotropically) regardless of silicon crystal planes or crystal orientation. As a result, relatively deep substantially vertical trenches or slots can be formed in the single-crystal silicon (SCS) second wafer. These substantially vertical trenches or slots can be formed anywhere in the second wafer regardless of crystallographic orientation within the wafer. Consequently, high aspect ratio structures such as capacitive or electrostatic plates can be formed, and arbitrarily contoured structures such as circles, ellipses and spirals can be formed.

As shown in FIG. 16d, a DRIE process is used to etch completely through the second wafer to define the displaceable member and the actuator(s). The DRIE etching step mechanically releases the single-crystal silicon (SCS) microstructures formed in the second wafer, which are then free to move relative to and in the plane of the second wafer. Suspended plate/beam structures with aspect ratios (height/width) of 20:1 or greater have been fabricated using the DRIE processes described below.

An inductively coupled plasma source etches the silicon using photoresist or silicon dioxide as a mask. Polymerization of a source gas on the sidewalls of the etched trenches slows the lateral etch rate and allows high anisotropy. The etching chemical is SF<sub>6</sub> at, for example, 50 millitorr. Oxygen additive gas and fluorinated gas available from Surface Technology Systems help provide high Si/photoresist etch-rate ratios. A six-micron photoresist serves as the patterning mask. The photoresist selectivity is approximately 50:1, which makes it possible to etch to depths of 300 μm with about 6 μm of resist. The "multiplex RIE system", available from Surface Technology Systems (STS) which has a place of business in Redwood City, California can be employed to perform inductively coupled plasma DRIE, or from Plasma Therm in St. Petersburg, Florida.

The combination of fusion bonding and DRIE allows the construction of three-dimensional structures, such as the microvalve of the present invention. See, for example, E.H. Klaassen, K. Petersen, J.M. Noworolski, J. Logan, N.I. Maluf, J. Brown, C. Storment, W. McCulley and G.T.A. Kovacs, "Silicon Fusion Bonding and Deep Reactive Ion Etching; A New Technology for Microstructures", Proceedings, Transducers 95, Stockholm, Sweden, 1995, at pp. 556-559.

In FIG. 16e, the patterned surface of the third wafer is bonded to the second wafer by silicon fusion bonding (or direct bonding) process, as described above with reference to FIG. 16c. Although not shown, it is to be understood that prior to the bonding, the third wafer was processed similar to the first wafer to define recessed region(s), inlet port and/or outlet port, as well as through-wafer contact hole(s) or via(s).

As shown in FIG. 16f, a layer of electrically conductive material such as aluminum is deposited, such as by sputtering, onto the surfaces of the contact hole(s) or via(s), the surface of the second wafer exposed through the contact hole, and at least a portion of the exterior planar surface of the third wafer. The conductive layer thus forms bond pad(s) to enable electrical contact to the actuator(s). Any necessary circuits or other thin film depositions and patterning can be performed using standard silicon processing techniques on the third wafer.

Any of a number of variations may be easily incorporated into this process. For example, first and/or third layers 12, 16 can be made of glass (Pyrex) instead of silicon. The microvalve may be formed from more than three wafers or layers or a micromechanical device may be formed from two or more wafer or layers. Furthermore, shallow cavities can be defined in the second layer 14 instead of in or in addition to the first



and third layers 12, 16. Alternatively, each of the layers may be separately processed and then assembled by an aligned bonding step. As is evident, one of ordinary skill in the art can easily make these and numerous other variations to the fabrication process by, for example, merely modifying the layout.

5           The microvalve of the present invention may be adapted for use in anti-lock brake systems, as described below, ink jet printing, refrigeration, pilots for larger valves, e.g., for automatic transmissions and large industrial valves.

          Referring now to FIG. 17, microvalve 82 may also be adapted to selectively control two inlet ports 84, 86 for fluid flow into one outlet 22. The opening and closing of inlet  
10       ports 84, 86 are interdependent. Other details of microvalve 82 will be understood from the above description with reference to other FIGS. Specifically, upon actuation, microvalve 82 may be controlled to open inlet port 84 while keeping inlet port 86 closed, or vice versa. Microvalve 82 may also be controlled to partially open both inlet ports 84, 86. Thus, microvalve 82 may be utilized to select fluid flow from one or two fluid sources.

15           As is evident, numerous other fluid flow control integration may be achieved with the microvalve of the present invention. For example, a single integrated microvalve 87 of the present invention may be utilized to replace a normally open (N.O.) and a normally closed (N.C.) solenoid valve utilized for each wheel of a conventional anti-lock brake system. A schematic of a portion of an anti-lock brake system 100 which utilizes the single  
20       integrated microvalve and a partial schematic of such a single integrated microvalve 87 are shown in FIGS. 18 and 19, respectively.

          Anti-lock brake systems (ABS) have become very common in passenger vehicles. As shown in FIG. 18, anti-lock brake system 100 generally comprises a wheel speed sensor (not shown) for sensing the speed of wheel 102, a normally open (N.O.) valve 86 and a  
25       normally closed (N.C.) valve 84 for controlling the flow of brake fluid to and from the brake caliper 104 of wheel 102, an electronic control unit (ECU) 106 which receives input from the wheel speed sensor and outputs signals to microvalve 87, a master cylinder 108 and a pump 110. As shown in FIG. 19, microvalve 87 defines one outlet port 22 for directing brake fluid to the brake caliper and two displaceable members 88, 90 to  
30       selectively open and close two inlet ports 84, 86, respectively.

          Normally open inlet 86 allows brake fluid to flow from master cylinder 108 to brake caliper 104 when the driver applies pressure to brake pedal 112. Without actuation of the ABS system, normally closed inlet 88 is at least substantially closed to flow of brake fluid

and normally open inlet 86 allows for the flow of brake fluid to brake caliper 104 upon application of pressure on the brake pedal 112 by the driver.

However, a slippery road surface may result in insufficient frictional or gripping force between the tire and the road such that, as the driver applies pressure to brake pedal 112, brake caliper 104 lock wheel 102. When the brake caliper 104 locks wheel 102 and stops wheel 102 from rotating, wheel 102 skids along the slippery road so that the vehicle braking distance is increased. Thus, the vehicle continues to move due to the momentum of the vehicle. Essentially, locking of the wheels by the brake system occurs when the gripping force between the tire and the road is less than the braking or gripping force between the wheel and the brake pads.

The anti-lock brake system alleviates or solves the wheel locking problem by regulating the brake-fluid pressure applied until the suitable level of brake force is reached, i.e. by decreasing the braking force to a level equal to the gripping force between the wheel and the road. The anti-lock brake system is activated in response to the wheel speed sensor detecting that the wheels are tending toward locking. Upon activation of the anti-lock brake system, the computer closes the N.O. valve. If the wheel speed sensor continues to sense the wheel tending toward locking even after the N.O. valve is closed, the computer opens the N.C. valve and pumps some brake fluid from the wheel cylinder or caliper into the master cylinder. The N.C. valve is repeatedly pulsed open and closed to regulate flow of the brake fluid until the computer determines that the braking is in control, i.e. when the wheel speed sensor detects that the wheels are no longer tending toward locking. By repeatedly pulsing the N.C. valve open and closed, proportionality of fluid flow control is achieved. The anti-lock brake system is only activated while the brake pedal is depressed.

A conventional anti-lock brake system of an automobile or passenger car utilizes two solenoid valves per wheel to control the flow of the brake fluid, resulting in the use of eight solenoid valves for a typical four-wheel passenger vehicle. However, the use of solenoid valves has several disadvantages, as discussed above. Although proportional solenoid valves are available, cost-effective solenoid valves used for anti-lock brake systems perform solely on and off (binary) switching, thus requiring that the valves be pulsed to obtain the precise desired level of flow control. Such pulsing can be sensed by the driver while depressing the brake pedal which may be undesirable.

Microvalve 87 as shown in FIG. 19 can be utilized to replace the two solenoid valves of the conventional anti-lock brake system. Each of displaceable members 88, 90 is

separately controlled by their respective thermal actuators. However, in the anti-lock brake system, displaceable members 88, 90 would not both be in the open position simultaneously. Thus, during a normal braking operation, displaceable member 90 (in the normally open position relative to inlet 86) would be in the open position while  
5 displaceable member 88 (in the normally closed position relative to inlet 84) would be in the closed position. Thus, when the driver depresses brake pedal 112 during a normal braking operation, pump 110 pumps brake fluid from master cylinder 108 to brake caliper 104 through normally open inlet 86.

When the ECU 106 senses that wheel 102 has exceeded predetermined thresholds,  
10 the ECU 106 sends a signal to microvalve 87 to displace displaceable member 90 to the closed position relative to inlet 86 and to displace displaceable member 88 from its closed position to a position between the open and closed positions relative to inlet 84. Displacing displaceable member 88 to a position between the open and closed positions relative to  
15 inlet 84 allows a desired level of brake fluid to be removed by the pump 110 from brake caliper 104 into master cylinder 108. Thus, only one integrated microvalve is utilized to replace the two conventional binary solenoid valves.

Displaceable member 88 would preferably not be pulsed between the open and closed positions and is preferably displaced to a location between the open and the closed position to precisely control the desired amount of brake fluid to be pumped from the brake  
20 caliper 104. However, it is to be appreciated that displaceable member 88 may be pulsed between the open and closed position relative to inlet 84 in order to achieve the proportional fluid flow control.

While specific embodiments of the invention have been described and illustrated, it will be appreciated that modifications can be made to these embodiments without departing  
25 from the spirit of the invention. Thus, the invention is intended to be defined in terms of the following claims.

### CLAIMS

What is claimed is:

1. A micromechanical device comprising:  
a first layer;  
5 a second generally planar layer defining a cavity region, said second layer being secured to the first layer such that the first layer substantially encloses the cavity region adjacent to the first layer;  
a first member suspended over the first layer and which includes a proximal end portion integrally secured to the second layer within the cavity region and which  
10 includes a distal end portion;  
a second member suspended over the first layer and which includes a proximal end portion integrally secured to the second layer within the cavity region and which includes a distal end portion;  
a displaceable structure suspended over the first layer and which includes a  
15 first end portion and a second end portion and which is secured within the cavity region to the distal end portions of the first suspended member and the second suspended member wherein the first and second members are disposed relative to the structure such that the displaceable structure is displaceable along a predetermined displacement range;  
a first actuator suspended over the first layer and operatively coupled to the  
20 first suspended member for the selective and proportional displacement of the displaceable member.
2. The micromechanical device of claim 1 wherein the layer includes single-crystal silicon.  
25
3. The micromechanical device of claim 1 wherein the first and second members and the displaceable structure include single-crystal silicon.
4. The micromechanical device of claim 1 wherein the first actuator is  
30 integrally formed in the second layer.
5. The micromechanical device of claim 1 wherein the first and second members are secured on opposed sides of the displaceable structure.

6. The micromechanical device of claim 1 wherein the first and second members are secured on the same side of the displaceable structure.

5. 7. The micromechanical device of claim 1 wherein the distal end portion of the first member comprises a unitary structure.

8. The micromechanical device of claim 1 further comprising a second actuator operatively coupled to the second suspended member for the selective and proportional displacement of the displaceable member.

9. The micromechanical device of claim 8 wherein the second actuator is integrally formed in the second layer.

15 10. The micromechanical device of claim 8 wherein the first and second members are secured on opposed sides of the displaceable structure.

11. The micromechanical device of claim 8 wherein the first and second members are secured on the same side of the displaceable structure.

20 12. The micromechanical device of claim 8 wherein the distal end portion of the second member is integrally secured to the second actuator.

13. The micromechanical device of claim 1 wherein the displaceable structure includes an elongated structure.

14. The micromechanical device of claim 1 wherein first end portion of the displaceable structure is suspended in the cavity region of the second layer.

30 15. The micromechanical device of claim 1 wherein the first end portion and the second end portion of the displaceable structure are suspended in the cavity region of the second layer.

16. The micromechanical device of claim 1 wherein the displaceable structure includes an elongated structure and wherein the first end portion and the second end portion of the displaceable structure are suspended in the cavity region of the second layer.

5 17. The micromechanical device of claim 1 wherein the displaceable structure has a length and wherein the first member and the second member are secured to the displaceable structure at locations that are offset from each other along the length of the displaceable structure.

10 18. The micromechanical device of claim 1 further comprising a third generally planar layer wherein the second layer is secured between the first and third layers and such that the third layer substantially encloses the cavity region adjacent the third layer.

15 19. The micromechanical device of claim 18 wherein the first and second members and the displaceable structure include single-crystal silicon.

20. A micromechanical device comprising:  
a first layer;  
a second generally planar layer defining a cavity region, said second layer  
20 being secured to the first layer such that the first layer substantially encloses the cavity region adjacent to the first layer;  
a member suspended over the first layer and which includes a proximal end portion integrally secured to the second layer within the cavity region and which includes a distal end portion;  
25 a displaceable structure suspended over the first layer and which includes a proximal portion and a distal end portion, the distal end portion being secured within the cavity region to the second layer and which is further secured within the cavity region to the distal end portion of the suspended member wherein the member is disposed relative to the structure such that the displaceable structure is displaceable along a predetermined  
30 displacement range; and  
a first actuator suspended over the first layer and operatively coupled to the suspended member for the selective and proportional displacement of the displaceable member.

21. The micromechanical device of claim 20 wherein the cross-sectional area of the displaceable structure generally decreases from the proximal portion to the distal end portion.

5

22. A micromechanical device comprising:

a first layer;

a second generally planar layer defining a cavity region, said second layer being secured to the first layer such that the first layer substantially encloses the cavity region adjacent to the first layer;

10

a first member suspended over the first layer and which includes a proximal end portion integrally secured to the second layer within the cavity region and which includes a distal end portion;

a second member suspended over the first layer and which includes a proximal end portion integrally secured to the second layer within the cavity region and which includes a distal end portion;

15

a displaceable structure suspended over the first layer and which is secured within the cavity region to the distal end portions of the first and second suspended members; and

a first actuator suspended over the first layer and operatively coupled to the first suspended member for the selective and proportional displacement of the displaceable member.

20

23. A micromechanical device comprising:  
a first layer;  
a second generally planar layer defining a cavity region, said second layer  
being secured to the first layer such that the first layer substantially encloses the cavity  
region adjacent to the first layer;  
a first member suspended over the first layer and which comprises a  
plurality of extension arms each including a proximal end portion integrally secured to the  
second layer within the cavity region and each including a distal end portion;  
a displaceable structure suspended over the first layer and which is secured  
within the cavity region to the distal end portions of the extension arms of the first  
suspended member wherein the extension arms are disposed relative to the structure such  
that the displaceable structure is displaceable along a predetermined displacement range;  
a first actuator suspended over the first layer and operatively coupled to the  
first suspended member for the selective and proportional displacement of the displaceable  
member.

24. The micromechanical device of claim 23, further comprising a second  
member suspended over the first layer and which comprises a plurality of extension arms  
each including a proximal end portion integrally secured to the second layer within the  
cavity region and each including a distal end portion, wherein the displaceable structure is  
secured within the cavity region to the distal end portions of the second member extension  
arms wherein the second member extension arms are disposed relative to the structure such  
that the displaceable structure is displaceable along a predetermined displacement range.

25. The micromechanical device of any of claim 20, 22, 23, or 24 wherein any  
or all of the displaceable structure, the first and second layers, and each suspended member  
includes single-crystal silicon.

26. The micromechanical device of claim 20, 22, 23, or 24 further comprising a  
third generally planar layer wherein the second layer is secured between the first and third  
layers and such that the third layer substantially encloses the cavity region adjacent the  
third layer.



27. The micromechanical device of claim 20, 22, 23, or 24 wherein the first actuator is integrally formed in the second layer.

28. A microvalve comprising:
- 5 a first layer, a second layer and a third layer, the second layer substantially formed from a conductive material, the second layer secured between the first and third layers;
- a first port;
- a second port;
- 10 the second layer defines a cavity region substantially enclosed by the first layer and the third layer;
- a first member which is suspended within the cavity region and which includes a proximal end portion integrally secured to the second layer and which includes a distal end portion;
- 15 a second member which is suspended within the cavity region and which includes a proximal end portion integrally secured to the second layer and which includes a distal end portion;
- a displaceable structure which is suspended within the cavity region and which includes a first end portion and a second end portion and which is integrally secured
- 20 to the distal end portion of the first suspended member closer to the first end portion than to the second end portion of such displaceable suspended structure and also which is integrally secured within the cavity region to the distal end portion of the second suspended member closer to the first end portion than to the second end portion of such displaceable suspended structure;
- 25 a first actuator integrally formed in the second layer and operatively coupled to the first suspended member so as to selectively and proportionally place the second end portion thereof at a position between an open and a closed position relative to one of said first and second ports; and
- 30 first electrical contacts through the third layer coupled to impart a stimulus signal to the first actuator.

29. The microvalve of claim 28 further including:  
a second actuator integrally formed in the second layer and operatively  
coupled to the second suspended member; and  
second electrical contacts through the third layer coupled to impact a  
stimulus signal to the second actuator.

30. The microvalve of claim 28:  
wherein the first actuator thermally expands when an electrical current  
passes therethrough; and  
wherein the second layer includes an electrical isolation structure which  
electrically isolates portions of the second layer so as to prevent the passage of electrical  
current between the first contacts except through the first actuator.

31. The microvalve of claim 28 further including:  
a second actuator integrally formed in the second layer and operatively  
coupled to the second suspended member;  
second electrical contacts through the third layer coupled to impact a  
stimulus signal to the second actuator.  
wherein the first actuator thermally expands when an electrical current  
passes therethrough;  
wherein the second actuator thermally expands when an electrical current  
passes therethrough; and  
wherein the second layer includes an electrical isolation structure which  
electrically isolates portions of the second layer so as to prevent the passage of electrical  
current between the contacts except through the first actuator or the second actuator.

32. The microvalve of claim 28 wherein the first actuator and the first contacts  
are vertically aligned.

33. The microvalve of claim 29,  
wherein the first actuator and the first contacts are vertically aligned; and  
wherein the second actuator and the second contacts are vertically aligned.

34. The microvalve of claim 28 wherein the second layer includes single-crystal silicon.

5 35. The microvalve of claim 28 wherein the first and second members and the displaceable structure include single-crystal silicon.

36. The microvalve of claim 28 wherein the first actuator includes single-crystal silicon.

10 37. The microvalve of claim 28 wherein the first and second members are secured on opposed sides of the displaceable structure.

38. The microvalve of claim 28 wherein the first and second members are secured on the same side of the displaceable structure.

15 39. The microvalve claim 28 wherein the distal end portion of the first member comprise a unitary structure.

20 40. The microvalve of claim 29 wherein the first and second members are secured on opposed sides of the displaceable structure.

41. The microvalve of claim 29 wherein the first and second members are secured on the same side of the displaceable structure.

25 42. The microvalve of claim 29 wherein the distal end portion of the second member is integrally secured to the second actuator.

43. The microvalve of claim 28 wherein the displaceable structure includes an elongated structure.

30 44. The microvalve of claim 28 wherein first end portion of the displaceable structure is suspended in the cavity region of the second layer.

45. The microvalve of claim 28 wherein the displaceable structure includes an elongated structure and wherein the first end portion and the second end portion of the displaceable structure are suspended in the cavity region of the second layer.

5           46. The microvalve of claim 28 wherein the displaceable structure has a length and wherein the first member and the second member are secured to the displaceable structure at locations that are offset from each other along the length of the displaceable structure.

10           47. The microvalve of claim 28 further comprising a third generally planar layer wherein the second layer is secured between the first and third layers and such that the third layer substantially encloses the cavity region adjacent to the third layer.

15           48. The microvalve of claim 47 wherein the first and second members and the displaceable structure include single-crystal silicon.

          49. A semiconductor microstructure comprising:  
          a generally planar single crystal semiconductor layer;  
          a first member which includes a proximal end portion integrally secured to  
20 the second layer and which includes a distal end portion;  
          a second member which includes a proximal end portion integrally secured to the second layer and which includes a distal end portion;  
          a displaceable structure which is integrally secured to the distal end portion of the first suspended member and which also is integrally secured to the distal end portion  
25 of the second suspended member; and  
          a first actuator integrally formed in the second layer and operatively coupled to the first suspended member.

          50. The semiconductor microstructure of claim 49 further including:  
30           a second actuator integrally formed in the semiconductor layer and operatively coupled to the second suspended member.

51. A micromechanical device comprising:  
a first layer;  
a second generally planar layer secured to the first layer;  
a recessed region defined by the first and second layers;  
5 a first member secured to the second layer and suspended within the  
recessed region;  
a second member secured to the second layer and suspended within the  
recessed region;  
a displaceable structure secured to the first member and to the second  
10 member so as to be suspended within the recessed region; and  
a transducer operatively coupled to the displaceable structure.
52. The micromechanical device of claim 51 wherein the transducer includes at  
least one sensor operatively coupled to the displaceable member.  
15
53. The micromechanical device of claim 51 wherein the transducer includes at  
least one actuator operatively coupled to the displaceable member.
54. The micromechanical device of claim 51, 52 or 53 wherein the displaceable  
20 structure includes single-crystal silicon.
55. The micromechanical device of claim 51, 52 or 53,  
wherein the displaceable structure includes a single-crystal silicon; and  
further including:  
25 a third layer secured to the second layer so as to substantially enclose the  
recessed region adjacent the third layer.

56. A microvalve, comprising:  
a first layer, a second layer and a third layer, said second layer is disposed between said first and third layers,  
said first layer defines a first port therethrough and at least one of said first and third layers defines a second port therethrough to permit fluid flow from said first port to said second port through a flow area defined in said second layer,  
said second layer defines a displaceable member and at least one actuator disposed relative to a first end portion of said member to displace said member in a plane parallel to said second layer, said member displaceable to selectively and proportionally place a second end portion thereof at a position between an open and a closed position relative to one of said first and second ports,
57. The microvalve of claim 56 wherein the second layer includes single-crystal silicon.
58. The microvalve of claim 56 wherein the actuator and the displaceable member include single-crystal silicon.
59. The microvalve of claim 56 wherein the actuator and the displaceable member include single-crystal silicon.
60. The microvalve of claim 56 wherein the actuator is integrally secured to the first end portion of the displaceable member.
61. The microvalve of claim 56 wherein the displaceable member includes an elongated structure having a first end integrally secured to the first end portion of the displaceable member and a second end integrally secured to second layer.
62. The microvalve of claim 56 wherein the second end portion of the displaceable member is suspended in the flow area of the second layer.
63. The microvalve of claim 56 wherein the first end portion and the second end portion of the displaceable member are suspended in the second layer.

64. The microvalve of claim 56 wherein the displaceable member includes an elongated structure and wherein the first end portion and the second end portion of the displaceable member are suspended in the second layer.

5

65. The microvalve of claim 56 wherein the displaceable member has a length and wherein the actuator is secured to the displaceable structure at a location along the length of the displaceable member.

10

66. The microvalve of claim 56, wherein at least one of said first and third layers further defines a third port therethrough, said member further defines another second end portion, said second end portion and said another second end portion are selectively and proportionally placeable at a position between an open and a closed position relative to said second port and said third port, respectively, and said second end portions are cooperatively disposed relative to said member and to said second and third ports to be placeable in the open position relative to exactly one of said second and third ports.

15

67. The microvalve of claim 56, wherein  
said member is movable to selectively and proportionally place said second  
20 end portion between an open and a closed position relative to said first port,  
at least one of said first and third layers further defines a third port  
therethrough to permit fluid flow from said third port to said second port said flow area,  
said second layer further defines a second member and at least one second  
actuator disposed relative to a first end portion of said second member to cooperatively  
25 move said second member in said plane in response to thermal expansion of said second  
actuators, said second member movable to selectively and proportionally place a second  
end portion thereof at a position between an open and a closed position relative to said third  
port.

25

30

68. The microvalve of claim 56, wherein said member is movable to selectively and proportionally place said second end portion at a position between an open and a closed position relative to said second port.

69. The microvalve of claim 56, wherein said member is movable to selectively and proportionally place said second end portion at a position between an open and a closed position relative to said first port.

5           70. The microvalve of claim 69, wherein said third layer further defines a third port disposed substantially opposite to said first port of said first layer.

10           71. The microvalve of claim 70, further comprising a fourth layer, said third layer is disposed between said second and fourth layers, wherein said first, second and third layers define a channel therethrough, said channel being enclosed in part by said fourth layer and having an opening in each of said first and third layers for delivery of fluid from said first layer opening to said third layer opening into said flow area, said third layer opening being substantially coaxially disposed relative to said first port of said first layer.

15           72. The microvalve of claim 56, wherein said second end of said member includes an extension to at least partially encircle said second port, said extension defines said flow area therein.

20           73. The microvalve of claim 72, wherein said extension is U-shaped.

            74. The microvalve of claim 72, wherein said extension is L-shaped.

25           75. The microvalve of claim 56, wherein said second end of said member includes an extension disposed between said first and second ports, said extension being adapted to at least partially balance fluid forces in said flow area.

            76. The microvalve of claim 56, wherein the width of said member increases from said first end portion to said second end portion.

30           77. The microvalve of claim 56, wherein said actuators are disposed outside of said flow area.



78. The microvalve of claim 56, wherein said actuators are disposed adjacent said first end portion of said member.

5 79. The microvalve of claim 56, wherein said second layer defines two actuators.

80. The microvalve of claim 79, wherein said actuators are disposed on opposite sides of said member.

10 81. The microvalve of claim 77, wherein said actuators are disposed on the same side of said member.

15 82. The microvalve of claim 56, wherein said actuator comprises a shaft extending generally perpendicularly relative to said member.

83. The microvalve of claim 56, wherein said shaft comprises an extension having at least two thermal members extending from said shaft and at an angle relative to said shaft, said thermal members being adapted to urge said shaft toward said first end portion of said displaceable member upon thermal expansion of said thermal members.

20

84. The microvalve of claim 83, wherein said thermal members are selected from the group consisting of ribs and plate actuators.

25 85. The microvalve of claim 84, wherein each of said actuators is at an angle approximately between 2-5° relative to the perpendicular of said shaft.

86. The microvalve of claim 84, wherein thermal expansion of said actuators displaces said shaft toward said first end portion of said member to thereby move said second end portion of said member.

30

87. The microvalve of claim 56, wherein said actuators are formed by deep reactive ion etching.

88. The microvalve of claim 56, wherein said displaceable member is formed by deep reactive ion etching.

89. The microvalve of claim 56, wherein at least one of said first and third  
5 layers provides a pair of electrical contacts for providing electrical contact to said actuator.

90. The microvalve of claim 89, wherein said actuator thermally expands upon application of current between said electrical contacts.

91. The microvalve of claim 89 wherein the second layer includes highly doped  
10 single-crystal silicon.

92. The microvalve of claim 89, wherein said electrical contacts are disposed on an exterior surface of said at least one of said first and third layers.

15

93. The microvalve of claim 89, wherein said second layer further defines an isolation area to provide electrical path from one of said contacts to the other of said contacts through said actuator.

20

94. An anti-lock brake system, comprising:

a wheel speed sensor for sensing the speed of a wheel;

a computer for receiving information from said wheel speed sensor; and

a microvalve adapted for receiving electrical signals from said computer;

wherein said microvalve comprises a first, second and a third layer.

25

said first layer defining a first port for receiving fluid from a fluid source;

one of said first and second layers defining a second port for receiving

and/or delivering fluid from the wheel;

30

said second layer defining a plane and a first displaceable member displaceable to a position between and including an open and a closed position, parallel to said plane, relative to said first port and in response to the signal from said computer; and

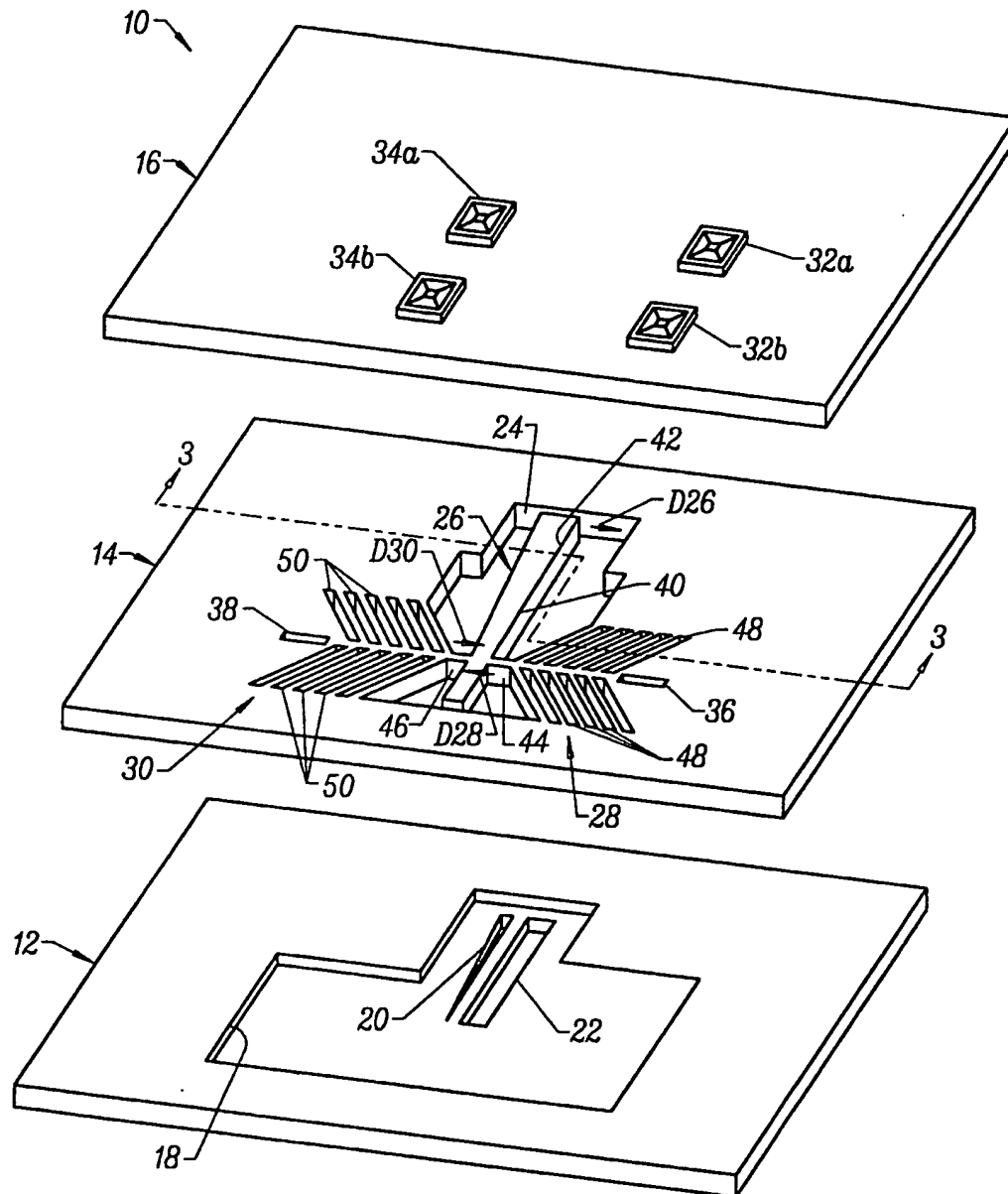
said second layer further defining a second displaceable member displaceable parallel to said plane, to a position between an open and a closed position, relative to said second port, and in response to said signal from said computer.

5           95.    The anti-lock brake system of claim 94, wherein said second layer further defines a first actuator for displacing said first displaceable member in response to said signal, and a second actuator for displacing said second displaceable member in response to said signal.

          96.    The anti-lock brake system of claim 95, wherein each of said first and second actuators is a thermal actuator, expandable upon application of a current therethrough.

10           97.    The anti-lock brake system of claim 94, wherein said first displaceable member is normally in an open position and said second displaceable member is normally in a closed position.

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*FIG. 1*

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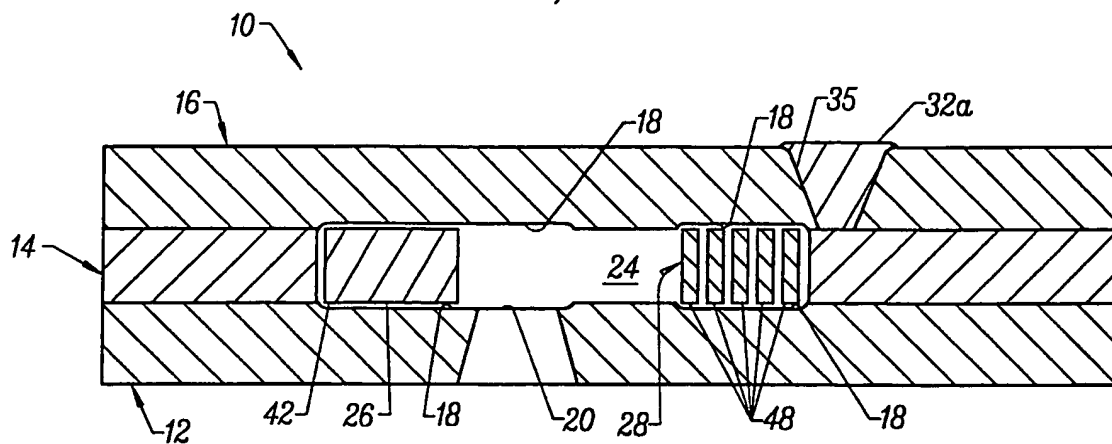


FIG. 2

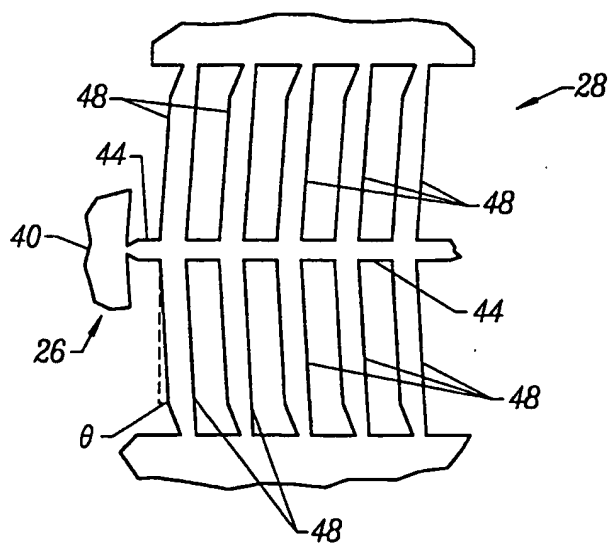
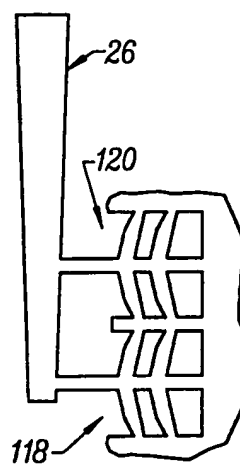
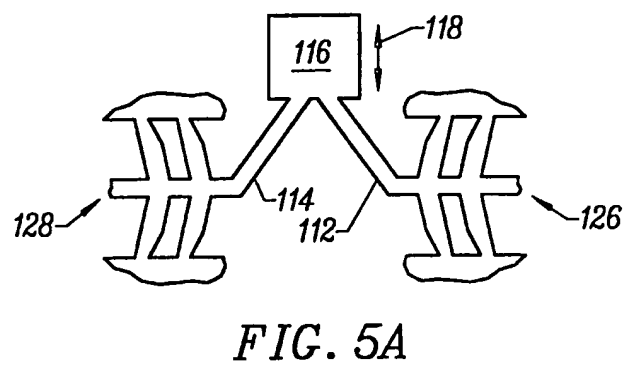
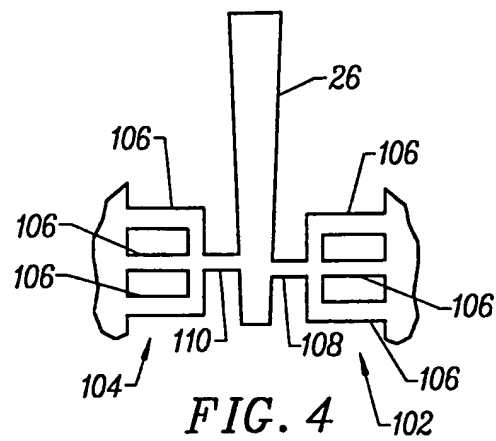


FIG. 3

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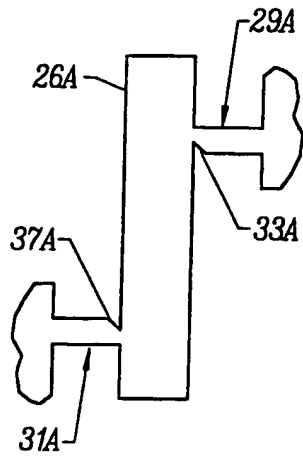


FIG. 6A

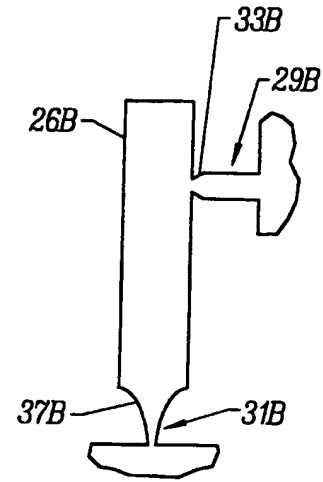


FIG. 6B

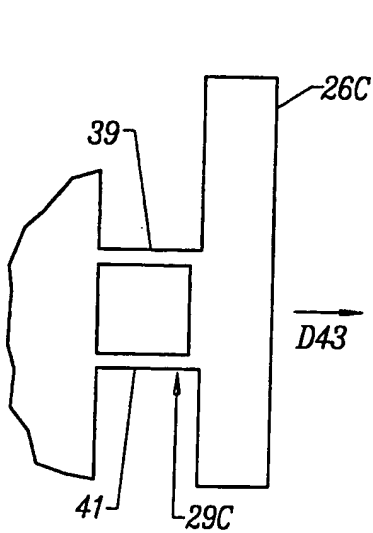


FIG. 6C

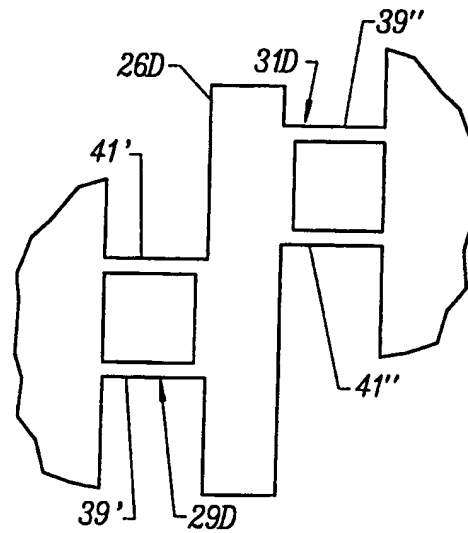
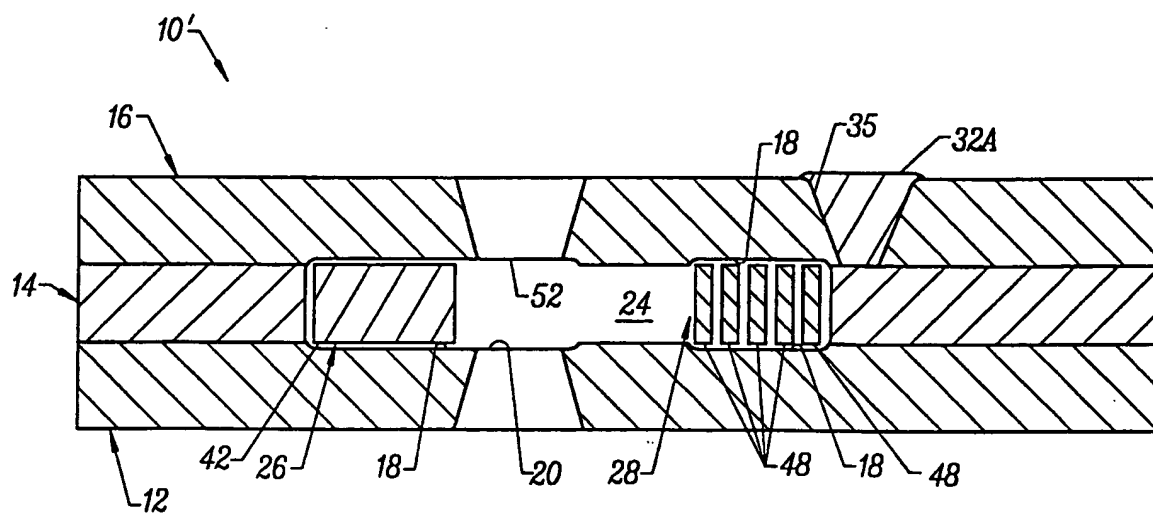
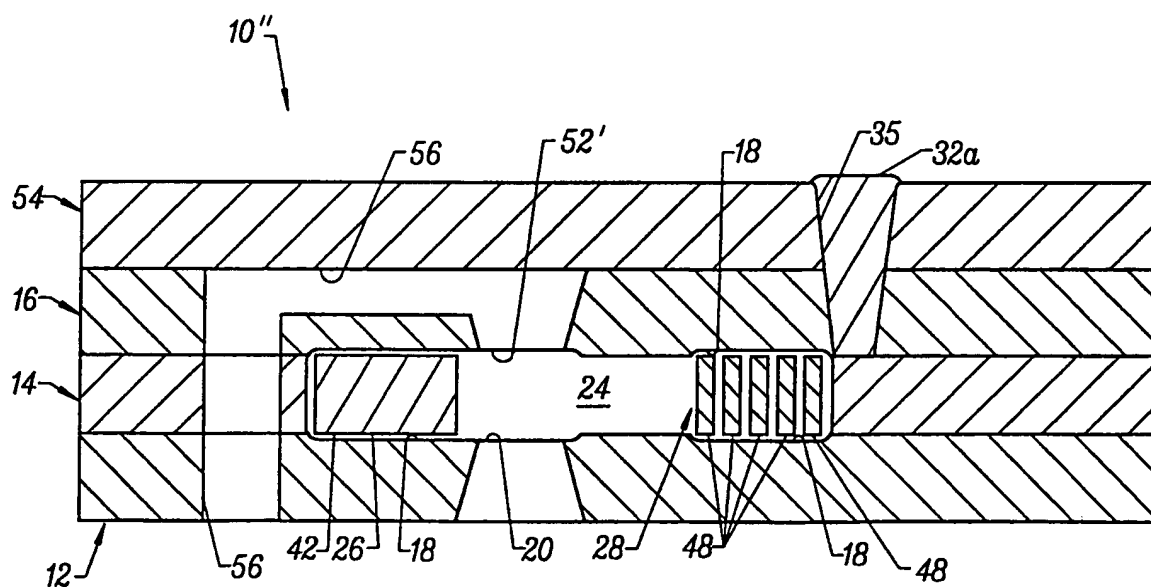


FIG. 6D

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**FIG. 7**



*FIG. 8*



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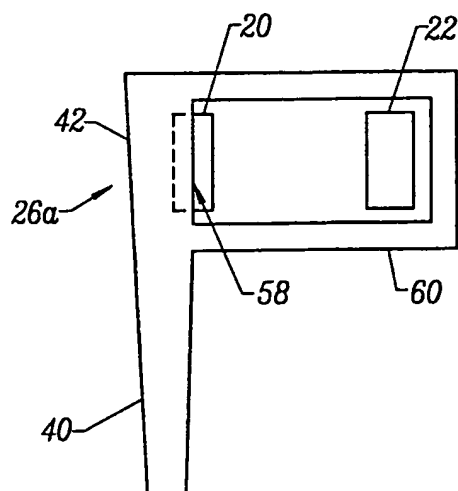


FIG. 9

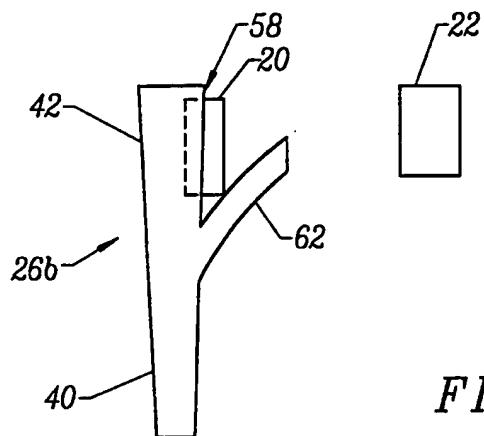


FIG. 10

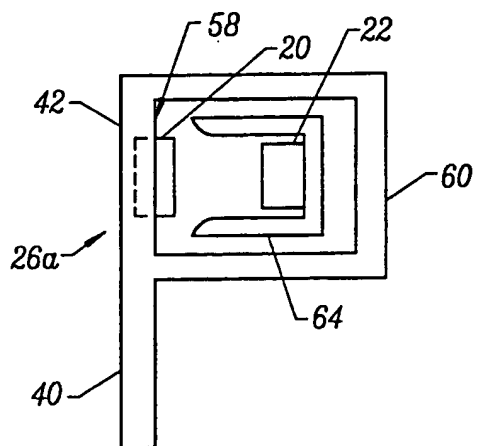


FIG. 11

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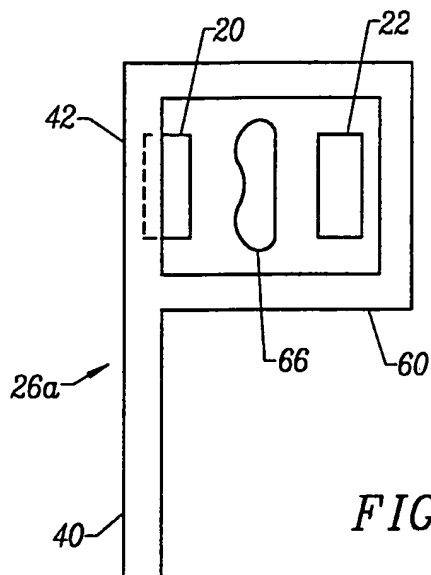


FIG. 12

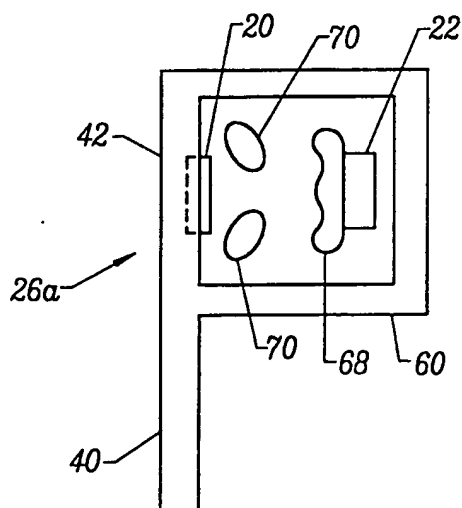


FIG. 13

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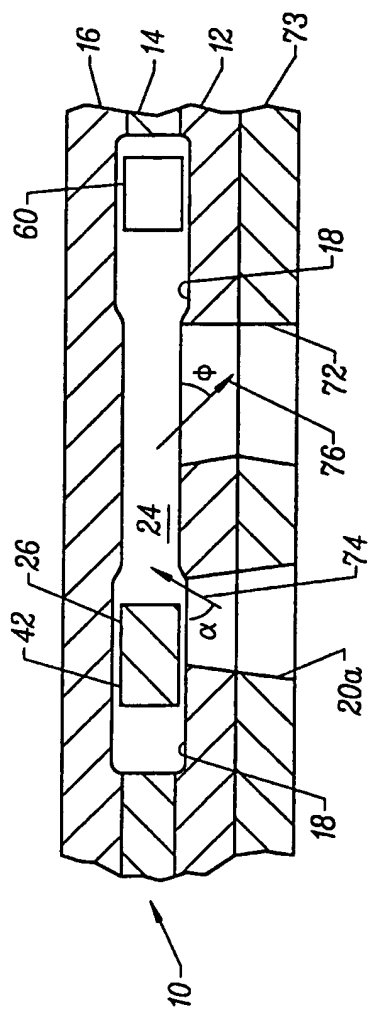


FIG. 14

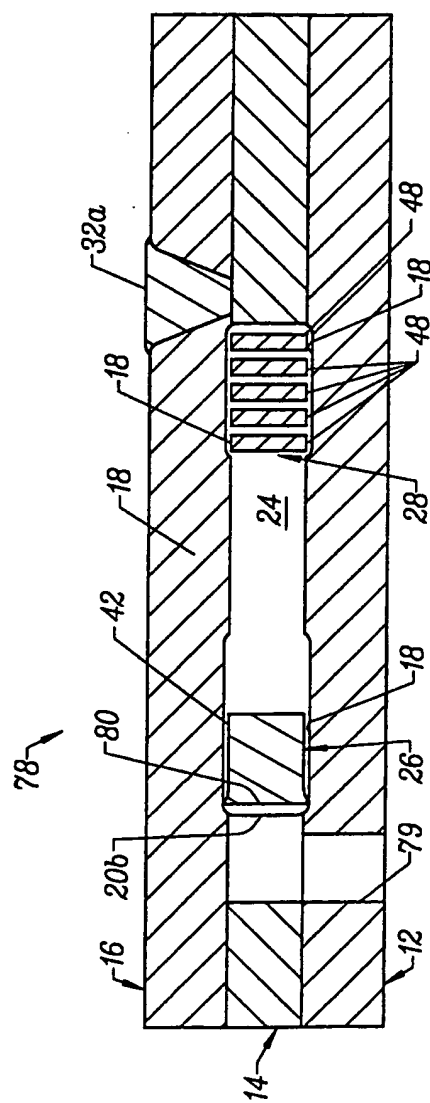


FIG. 15

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FIG. 16A



FIG. 16B



FIG. 16C

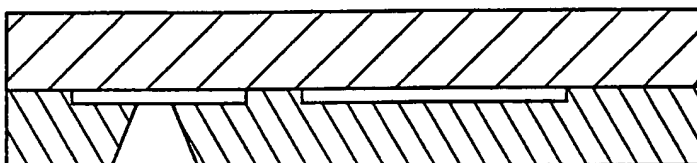


FIG. 16D

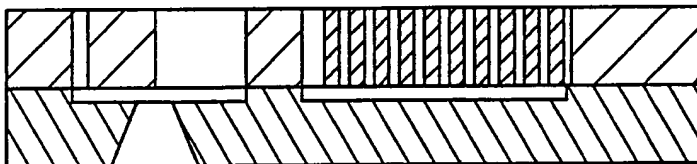


FIG. 16E

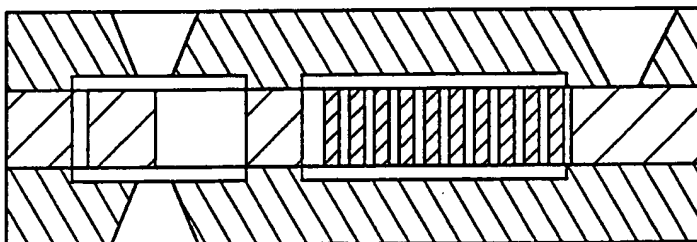
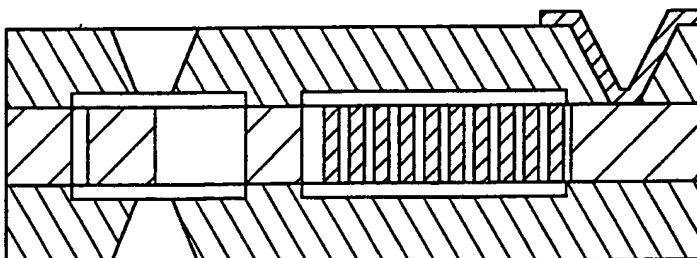


FIG. 16F



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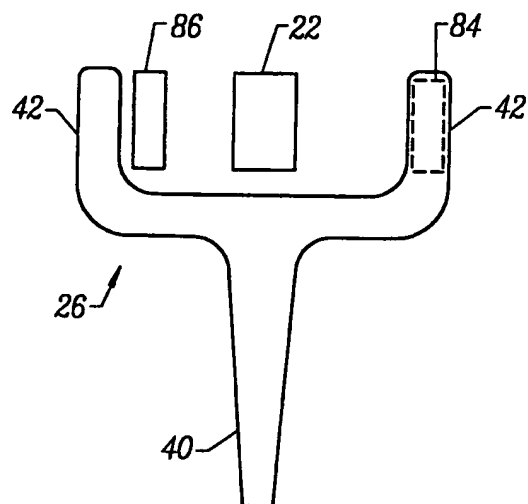


FIG. 17

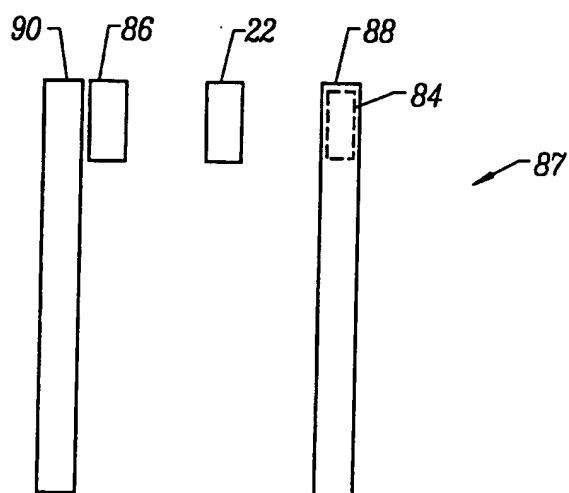


FIG. 19

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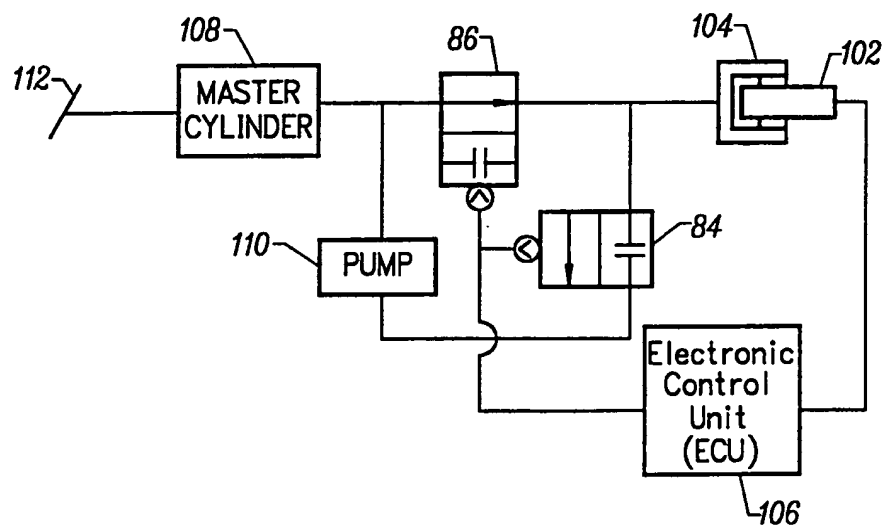


FIG. 18



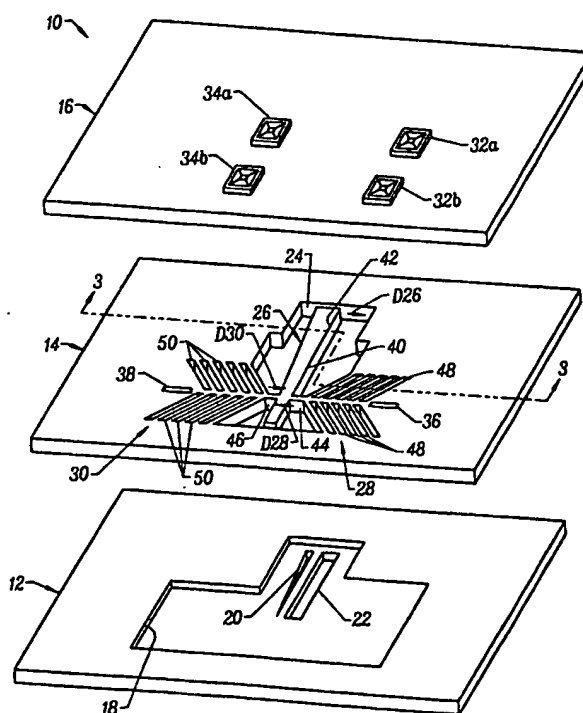
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US99/19971</p> <p>(22) International Filing Date: 31 August 1999 (31.08.99)</p> <p>(30) Priority Data: 09/148,026 3 September 1998 (03.09.98) US</p> <p>(71) Applicant (for all designated States except US): LUCAS NOVASENSOR [US/US]; 1055 Mission Court, Fremont, CA 94539 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): MALUF, Nadim, I. [US/US]; 347 Sierra Vista Avenue, Mountain View, CA 94043 (US). WILLIAMS, Kirt, R. [US/US]; 185 Willowbrook Drive, Portola Valley, CA 94028 (US). VAN DRIEËNHUIZEN, Bert, P. [NL/US]; 37250 Sequoia Terrace #1032, Fremont, CA 94536 (US). FULLER, Edward, Nelson [US/US]; 17750 Sharon Valey Road, Manchester, MI 48158 (US). BARRON, Richard, J. [GB/US]; 3407 Cromwell Court, Ann Arbor, MI 48105 (US).</p> <p>(74) Agents: HOLLAND, Charles, D. et al.; Morrison &amp; Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304-1018 (US).</p>	<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p> <p>(88) Date of publication of the international search report: 6 July 2000 (06.07.00)</p>	

(54) Title: PROPORTIONAL MICROMECHANICAL DEVICE

## (57) Abstract

The present invention provides a proportional microvalve (10) having a first (12), second (14) and third layer (16), and having high aspect ratio geometries. The first layer (12) defines a cavity (24) with inlet (20) and outlet (22) ports. The second layer (14), doped to have a low resistivity and bonded between the first (12) and third (16) layers, defines a cavity (24) having a flow area to permit fluid flow between the inlet (20) and outlet (22) ports. The second layer (14) further defines an actuatable displaceable member (26), and one or more thermal actuators (28, 30) for actuating the displaceable member (26) to a position between and including an open and a closed position to permit or occlude fluid flow. The third layer (16) provides one wall of the cavity (24) and provides electrical contacts (32a, 32b, 34a, 34b) for electrically heating the thermally expandable actuators (28, 30). The thermal actuators (28, 30) and the displaceable member (26) have high aspect ratios and are formed by deep reactive ion etching such that they are displaceable in the plane of the second layer (14) while being very stiff out of the plane. Thus, both actuation and displacement of the displaceable member (26) are in the plane of the layer.



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# INTERNATIONAL SEARCH REPORT

International Application No

PL./US 99/19971

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 F15C5/00 B60T8/36

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F15C B60T H01H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>NOWOROLSKI J M ET AL: "Process for in-plane and out-of-plane single-crystal-silicon thermal microactuators"</p> <p>SENSORS AND ACTUATORS A,CH,ELSEVIER SEQUOIA S.A., LAUSANNE, vol. 55, no. 1, 1996, page 65-69</p> <p>XP004031715</p> <p>ISSN: 0924-4247</p> <p>page 66, paragraph 3.1; figures 3-6</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p>	<p>1-50, 56-70, 76-97</p>



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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Date of the actual completion of the international search

20 December 1999

Date of mailing of the international search report

15. 03. 2000

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## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/19971

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 41 01 575 A (ROBERT BOSCH) 23 July 1992 (1992-07-23)  column 6, line 38 -column 7, line 27; figures 1,3,4 ---	1-5, 7-10, 12-16, 18-29, 31-37, 39, 41-45, 47-50, 56-71, 76-79, 81,82, 87-89, 91-95,97
P,X	WO 99 16096 A (MAHADEVAN RAMASWAMY ;WOOD ROBERT L (US); MCNC (US); DHULER VIJAYAK) 1 April 1999 (1999-04-01) abstract; figure 1 ---	1-50, 56-70, 76-97
A	DE 44 17 251 A (ROBERT BOSCH) 23 November 1995 (1995-11-23) column 2, line 59 - line 62; figure 1 ---	23
A	US 5 238 223 A (METTNER) 24 August 1993 (1993-08-24) abstract ---	94-97
A	US 5 065 978 A (ALBARDA) 19 November 1991 (1991-11-19) ---	
A	DE 44 22 942 A (ROBERT BOSCH) 4 January 1996 (1996-01-04) ---	
A	US 5 179 499 A (YAO) 12 January 1993 (1993-01-12) -----	

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 19971

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

CLAIMS : 1-50, 56-97  
CLAIMS : 51-55

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
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4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-50, 56-97

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PC., US 99/19971

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		WO 9321657 A	28-10-1993
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Masatoshi OMOTO et al.

Serial No.: Not Yet Assigned

Filing Date: Concurrently Herewith

For: LED DEVICE AND PORTABLE  
TELEPHONE, DIGITAL CAMERA  
AND LCD APPARATUS USING THE  
SAME

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

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Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.